## Deflection Dilemma

#### Plan: The appropriation of outer space for asteroid mining by private entities is unjust.

#### Mining is inevitable down the line regardless of capital limits because of oligopolistic consolidation

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The proliferation of a lunar economy rests upon patient access to capital and fostering innovative ideas for large-scale development. At the moment, capital requirements for lunar miners are too high for companies to succeed in a perfectly competitive market. For the lunar economy, the emergence of large, vertically integrated companies will lead to the economies of scale necessary for proliferation. Terrestrially, when an industry becomes mature and beholden to traditional economics, like scarcity, a focus on profit margins takes over, and limitations emerge in the form of price manipulation and a lack of competition. As mentioned, the lunar economy will operate privately, and independent of scarcity, using profit margins to increase cash flow for innovation. An oligopoly of dedicated space holding companies, each comprised of diverse companies along the value chain, funded by the parent company and incentivized by prizes, will maintain a culture of innovation and competition. Rather than a few concentrated entities, each sacrificing their identity to their acquirer, the lunar economy will be an oligopoly of teams.

#### Commercialized proximity mining operations create dual-use deflection risks – inherent interoperability makes dangerous repurposing easy and likely

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Extensive and prolonged proximity operations will be an essential element of most types of planetary defense mitigation missions. The most technologically mature method for fragmentation or deflection of a hazardous object is through a surface, subsurface, or stand-off nuclear explosion: The tremendous impulsive force of the blast and resulting surface ablation could, in one moment, deliver the necessary velocity change to the body to miss its future collision with Earth. Time permitting, to assure exact positioning and maximum deflective or fragmentation effect, the nuclear device would be buried, anchored to the surface, or orbiting just above the asteroid, an effort that would involve precise proximity operations.

On the opposite end of the spectrum for deflecting an inbound body are the “slow push" methods, which would deliver a minute but steady deflective force to the asteroid or comet, over time providing a cumulative change in velocity. With few exceptions, every proposed slow push technique would be dependent on extended operations in close proximity to the body. Gravity tractors would hover a spacecraft near the asteroid for years or decades, slowly imparting a deflective gravitational force; an enhanced gravity tractor would first collect boulders or regolith from the threatening body, to increase the mass and gravitational pull of the spacecraft. Laser or solar ablation methods would require the stationing of a spacecraft near the asteroid to direct the ablative beam. Using thrusters or a space tug would require direct physical contact with the body for years on end, nudging it to alter its velocity. Mass driver systems would land and anchor a robotic mining apparatus on the asteroid’s surface, to cast a steady stream of regolith into space and produce a minute but steady deflective counterforce.

Similarly, asteroid or comet mining would rely entirely on the ability to conduct reliable, long-term, repetitive proximity operations. Several mining concepts have been analyzed. The most common concept would land and anchor robotic mining and support systems on the asteroid or comet; these systems would methodically drill, scrape, crush, lift, or scoop the desired minerals or ice from the body. Support systems would discard unwanted tailings and transport the ore to a processing station or collection facility. The mining operation could occur on the surface, in pits, or in caverns cut into the interior of the asteroid or comet.

Alternative mining methods include leaching minerals through the injection of high pressure steam, fully encapsulating a small asteroid or comet and capturing the escaping water as the container is heated by the Sun, and collecting water vapor from a passing comet using a spacecraft stationed in a trailing position behind it. Each of these activities would require the ability to operate on and near the surface of the body for long periods.

The commonalities between planetary defense and asteroid mining are extensive for the wide range of proximity operations. For both endeavors, hovering, orbiting, landing, and anchoring on the space body are essential competencies. The same base technologies that can be used to mine metals could be employed in burying a nuclear device to fragment an asteroid, or as a mass driver apparatus used in deflection. The technologies that could be employed to secure thrusters or a solar sail to a tumbling asteroid to change its orbit could be adapted to anchor a full suite of mining equipment to the surface of a resource-rich body.

#### That increases the risk of accidental collisions, astro-terror, and space weaponization

Mares 15 [Miroslav Mares, Professor, at the Division of Security and Strategic Studies, Masaryk University, Czech Republic. Jakub Drmola PhD student, at the Divison of Security and Strategic Studies, Masaryk University, Czech Republic. Revisiting the deflection dilemma. October 1, 2015. https://academic.oup.com/astrogeo/article/56/5/5.15/235650]

Sooner or later, in order to avoid the fate of the dinosaurs, humanity needs to develop scientific and technological capabilities to prevent extinction-level impact events. But most solutions bring about new challenges, because new technologies rarely have only one application. Here lies the dilemma: any technology allowing us to deflect asteroids from a collision trajectory with the Earth could also be used to direct them towards the Earth. This means we could potentially turn any future near-miss into an impact, with all its devastating consequences.

Sagan & Ostro (1994b) concluded that this is a risk not worth taking. Considering the very low probabilities of impacts with objects larger than 1 km (generally less than 1 in 5000 for a given century), they were more worried about the misuse of such trajectory-altering technology than the undiverted asteroids themselves. Humans visited a great deal of violence upon each other during the 20th century; war has been prevalent and increasingly technological. The beginning of the 21st century does not seem overly promising either. The risk that one of humanity's irrational totalitarian powers decides to have some nearby asteroid steered towards Earth might simply be too high. Many people still see the default cosmic odds as preferable to the lessons of recent history.

Later on, a modification of sorts to the deflection dilemma appeared, positing that the “real” dilemma (Schweickart 2004, Morrison 2010) lies in putting various parts of the Earth and its population in harm's way during a deflection attempt. Inevitably, any mission to deflect an object that is on a collision course with the Earth will involve moving its supposed point of impact across the surface until it misses the planet entirely. Should such a deflection attempt fail to modify the trajectory sufficiently, the impact would still occur, albeit in a different area. This could expose to risk countries that were not originally threatened by the asteroid (depending on its size and path), while diminishing the risk to those living near the original point of impact. The damage and casualties around this new and modified point of impact would then, to some extent, be caused by those who tried but failed to deflect the asteroid. The repercussions of such an event would certainly be grave.

Privatization and industry

Both of these versions of the deflection dilemma are essentially state-centric and neither presumes that this technology might be wielded by private companies and non-state actors. But the current trend of greater involvement of private companies in space suggests that states might be unable (or unwilling) to maintain their exclusive hold on the advanced space technologies. The private sector is currently hot on the heels of national and international space agencies in exploring feasible and economically viable options. At the moment, private companies are already in the business (or at least in the process of making it a profitable business) of resupplying the International Space Station, taking tourists to the edge of space and operating communication satellites. And, recently, a new area of potential commercialization of space, asteroid mining, has received increased attention and investment. It has already spawned private companies (such as Deep Space Industries and Planetary Resources, Inc.); this industry is highly relevant to the deflection dilemma (Ostro 1999).

While the idea of mining asteroids carries with it an air of science fiction (as all space-based endeavours do, at some stage), it is based on science fact. One of the most significant facts on which to base a space mining industry is the apparent abundance of highly valued raw materials in asteroids. Platinum, rhodium and other precious metals are extremely useful because of their catalytic and electrical properties, but are also exceedingly rare in the Earth's crust. While such metals sank deep into the planet during core formation, asteroids retained their original composition and even delivered much of the accessible reserves to our planet in the form of meteorite bombardment (Willbold et al. 2011). Some of the largest known deposits of these metals on Earth are found within ancient impact craters. Platinum-group metals are deemed critical to our modern technology-based civilization, without substitutes in many applications, and their supply is at risk of “geopolitical machinations” (Graedel 2013). The combination of natural scarcity and industrial demand leads to their high price, which easily rivals that of gold. Because space missions are inherently expensive, these precious metals are prime high-value candidates for economically viable asteroid mining. Since the projected market value of these metals within an asteroid is in the order of billions or even hundreds of billions of US dollars (depending on the size of the asteroid), the success of the industry comes down to developing technically feasible and cost-effective methods of mining them and retrieving them (Blair 2000, Gerlach 2005). The other interesting and potentially worthwhile resource we could harvest from asteroids is water. Not only is liquid water required by astronauts to survive, but it can also be broken down into oxygen and hydrogen to be used as fuel. And, while water is abundant and cheap here on Earth, it is very expensive to transport it to orbit. It costs $3000–$10 000 per kilogramme to launch water (or anything else) to low Earth orbit and about two or three times more for geostationary transfer orbit (Jain & Trost 2013). It is not the prospect of procuring something we covet here on the surface of the Earth that makes this venture attractive, but rather the idea of not having to wage an expensive battle with Earth's gravity each time we want to make use of something as mundane as water in space. If the costs associated with mining water from asteroids can be brought below the cost of launching water from Earth, this seemingly counter-intuitive industry might take off and become profitable. Additionally, through the use of some form of refuelling depots, it would probably in turn make space endeavours more affordable and sustainable. The same would apply if some of the more common metals found in asteroids (such as iron or nickel) were used to build structures directly in orbit instead of launching them from the Earth. The risks of mining asteroids There are two basic ways to go about moving the resources contained within a given asteroid to the Earth. They can be extracted from the asteroid during its natural orbit and then transported to the Earth, or the entire asteroid might be moved closer to a more convenient location before starting mining. Thus repositioned, it might even be used as a shielded habitat, once hollowed out (Ostro 1999). There are different speculative costs and benefits associated with either option, which would vary with the size, orbit and composition of the asteroid. But, crucially, the second option would entail putting asteroids into orbit around the Earth, the Moon or possibly at one of the Earth's Lagrangian points. Indeed, NASA has already planned a mission to capture a small asteroid and place it in a high cislunar orbit, where it would serve as a destination for future manned missions and experiments. This “Asteroid Redirect Mission” is to take place in the next decade and is being pitched mainly as a stepping stone towards a future mission to Mars (see box “NASA's Asteroid Redirect Mission”; Brophy et al. 2012, Burchell 2014, Gates et al. 2015).

Programmes to redirect asteroids and, especially, plans to mine asteroids on an industrial scale essentially resurrect the deflection dilemma. But it is no longer a matter of superpowers intentionally misusing technology designed to prevent dangerous impacts. It becomes an issue of proliferation among private entities. Once private mining companies acquire the technical ability to redirect suitable NEOs (Baoyin et al. 2011) in order to extract platinum or water from them, perilous inflections become more likely.

The probability of accidents will rise with the number of asteroids whose trajectories we decide to manipulate. Such accidents might be very unlikely, but even a tiny technical or human error in the execution of an inflection meant to place an asteroid into the lunar or geocentric orbit might send it crashing into the Earth with potentially devastating consequences. And while we might find solace in the low probabilities associated with such an accident, even contemporary industries which are considered very safe suffer from unlikely tragedies. Despite being dependable and reliable, airliners do crash; there are a lot of them flying and very improbable accidents do happen if the dice are rolled often enough. Undoubtedly, we will not be steering as many asteroids as we steer planes any time soon, but industries tend to be more accident-prone during their infancy. Furthermore, a single asteroid can do a lot more damage than a single plane. And who is to say how much metal or water we are going to need in space over the course of the 21st century, or the next?

The second source of risk is the intentional misuse, similar to the original deflection dilemma. But the entry barrier for asteroid weaponization gets much lower if mining them and moving them around becomes a common industrial activity. This is in stark contrast to the original scenario which envisioned this technology to be used solely for planetary defence and under control of a very small number of the most powerful countries (Morrison 2010). If such a powerful technology becomes widely and commercially available, even rogue states and well-funded terrorist groups might be tempted to use it for an unexpected and devastating attack. In addition, an active asteroid mining industry would make it more difficult to detect any hostile inflection attempts among the number of legitimate and benign ones.

#### The dilemma causes the most power WMD ever – it’s more likely than natural hits and structurally outweighs

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While asteroids loom large in the horizons of habitat and some military expansionists, they receive little attention from arms controllers and most global security thinkers. As a planetary defense project, diverting asteroids seems a logical part of a Whole Earth Security program and international space infrastructure security cooperation, but opponents of military space expansion are sharply divided about asteroidal diversion. In part these disputes carry over from Cold War nuclear debates, with Edward Teller, Darth Vader for arms controllers, pushing nuclear solutions to the asteroid threat, and arms controllers raising alarms.

An important analysis of the dangers inherent in the deflection of asteroidal bodies is provided by Carl Sagan and Stephen Ostro.67 Few figures of the Space Age have been as productive and prominent as Sagan, a planetary astronomer, science educator, and SF author.68 Over the later decades of the twentieth century Sagan’s work on planetary science, particularly Mars, his television series Cosmos, and his science fiction, most notably Contact (coauthored with Ann Druyan), made him an international celebrity and influential voice for science and space exploration. Unlike virtually all other space scientists and engineers of his era, Sagan also was active in advancing nuclear arms control, studying— and publicizing—the “nuclear winter” hypothesis and promoting cooperation in space to improve Soviet-American relations.69 Although a strong supporter of the larger habitat expansionist vision, Sagan insists large-scale space activities should occur only after nuclear disarmament and planetary habitat stability have been achieved because of an ominous asteroid “deflection dilemma.”70

The essence of the deflection dilemma is simple: species and civilizational survival inevitably will eventually require the development of the ability to deflect asteroids and comets away from Earth, but this technology also inherently creates the possibility that such objects could be directed toward the Earth. The existential stakes are clear: “the destructive energy latent in a large near-Earth asteroid dwarfs anything else the human species can get its hands on,” making them potentially “the most powerful weapon of mass destruction ever devised”71 (see Table 7.4. A and B).72 Once the population of these bodies is fully mapped, and technologies to deflect them are developed, Sagan argues, the prospects for collision increase over the natural rate due to the possibility of intentional bombardment. Given these possibilities, perhaps the reason the dinosaurs lasted for nearly two hundred million years is because they did not have a space program.

In his major book on the human space future, Pale Blue Dot, Sagan lays out several scenarios for intentional collisions. His arguments are essentially the arguments of nuclear arms controllers. Madmen exist, and some “achieve the highest levels of political power in modern industrial nations.”'3 Recalling the extreme destruction caused by Hitler and Stalin, Sagan posits the possibility that a “misanthropic psychopath” or a “megalomaniac lusting after ‘greatness’ or glory, a victim of ethnic violence bent on revenge, someone in the grip of severe testosterone poisoning, some religious fanatic hastening the Day of Judgment, or just some technicians incompetent or insufficiently vigilant” will bring about a catastrophic collision.74 Earth-approaching asteroids amount to “30,000 swords of Damocles hanging over our heads,” for which “there is no acceptable national solution.”75 And, like Cole and Salkeld (not mentioned), Sagan points to the possibilities of clandestine use of this technology.

#### Accidental and intentional deflection attacks outweigh the threat of conventional hits – only building in response time with enhanced tracking and attribution solves rogue strikes that bypass conventional deterrence

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Ignoring accidental deflection, which might occur when an asteroid is moved to an Earth or Lunar orbit for research or mining purposes (see this now scrapped proposal to bring a small asteroid in to Lunar orbit), there are two categories of actors that might maliciously deflect such a body; state actors and terrorist groups.

A state actor might be incentivised to authorise an asteroid strike on an enemy or potential enemy in situations where they wouldn’t necessarily authorise a nuclear strike or conventional invasion. For example, let us consider an asteroid of around 20 m in diameter. Near Earth orbit asteroids of around this size are often only detected several hours or days before passing between Earth and the Moon. If a state actor is able to identify an asteroid that will pass near Earth in secret before the global community has, they can feasibly send a mission to alter its orbit to intersect with Earth in a way such that it would not be detected until it is much too late. Assuming the state actor did its job well enough, it would be impossible for anyone to lay blame on them, let alone even guess that it might have been caused by malicious intent.

An asteroid of this size would be expected to have enough energy to cause an explosion 30 times the strength of the nuclear bomb dropped over Hiroshima in WWII.

Footnote

\* An ‘existential threat’ typically refers to an event that could kill either all human life, or all life in general. A ‘catastrophic threat’ refers to an event that would cause substantial damage and suffering, but wouldn’t be expected to kill all human life, which would eventually rebuild.

#### Even limited deflection failures cause nuke war because they look like preemptive strikes and the risk is inversely proportion to size

Lovett 19, [Richard Lovett is a Cosmos contributor, The biggest danger about an asteroid strike? Lawyers, Blasting away at incoming space rock raises real risks of nuclear war, experts say. Richard A Lovett reports, May 7, https://cosmosmagazine.com/space/the-biggest-danger-about-an-asteroid-strike-lawyers]

Governments and space agencies seeking to protect the Earth by changing the courses of potentially hazardous asteroids might face major legal hurdles, even if our planet is in the crosshairs of a bolide big enough to kill millions, experts say. One problem is what would happen if one country, worried about protecting its own citizens, attempted to deflect the asteroid, screwed up, and accidentally dumped it on a neighbour. Space law, says David Koplow of Georgetown University Law Centre, Washington DC, is based on the principle of strict liability. “The concept is that space activities are hazardous and therefore the harm should not fall on an innocent bystander,” Koplow says. Another problem stems from the fact that only a few countries have the technological ability to deflect an incoming asteroid, and there is, at present, no international authority tasked with making sure everyone else is represented in the decision-making process. In fact, says Cordula Steinkogler, a space law expert at the University of Vienna, Austria, current treaties don’t even require nations to share information about such hazards, let alone act to protect each other. She notes, however, that the United Nations charter does establish a “very general” duty for them to act toward solving international problems that affect economic, social, cultural, educational, and health wellbeing. Failure to share information can be more than just an inconvenience. To start with, says Petr Boháček, of Charles University in Prague in the Czech Republic, it could make countries wonder if, instead of international cooperation, the rule is actually everyone for themselves. It’s a particularly important problem, he says, because the nations at risk of being hit by an asteroid may not be the ones with the greatest geopolitical power. “Asteroids do not discriminate,” he notes. The nation-state concept of sovereignty, he adds, dates back several hundred years. “I’m not sure how many concepts from the seventeenth century you use in your decision-making,” he says, “but making decisions for planetary defence based on this dinosaur method of decision-making may not be the best choice.” Another problem is that the nation hit by an asteroid might see it as an attack by a foe, and retaliate. “[It] could look like the damage of a nuclear attack,” says Seth Baum, executive director of the Global Catastrophic Risk Institute, a US-based think tank, “so the prospect [of] a counterattack seems like something worth taking very seriously.” Ironically, the risk of this is probably inversely proportional to the size of asteroid. A big asteroid, capable of wiping out an enormous swath of territory, would be seen coming well in advance, and have generated a media frenzy (assuming people didn’t brand it as “fake news”).

#### Asteroid hits cause extinction but coordinated tracking coop with Russia is key

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US Secretary of State Rex Tillerson has just finished his visit in Moscow to discuss Syria and the threat of terrorism and other related issues with the Russian officials, but conspicuously absent from the agenda of his visit is the real and clear danger posed by the threat from space, that is, the asteroids, one of which is due to brush past earth on Wednesday, April 19. In fact, Russia and US have become allies against the asteroid threat since the signing of an anti-asteroid agreement in 2013, initiated by the then energy secretary and scientist Ernst Muniz. This agreement calls for cooperation on research on asteroid defense, raising the prospect of a US-Russia nuclear cooperation, given the potential feasibility of nukes in deflecting or destroying an incoming asteroid — for good reason. The asteroid due for a close flyby next week at a speed of some 60,000 miles per hour is over one mile long and capable of releasing the equivalent of almost 2000 Hiroshima bombs; if it hits the earth, it would cause massive tsunamis and giant fireballs wiping out a good chunk of humanity. In a twist of irony, the NASA officials have reassured us that there is “zero chance” of earth’s collision by this giant asteroid and, yet simultaneously, brand it as a “potentially hazardous object” since it is considered a “near-earth” object and also because of a small uncertainty about its size and orbit, i.e., its path’s trajectory in space, which has its own version of air pockets that can affect an asteroid’s direction, just as its collision with another asteroid can do so, as was the case with the meteor that exploded 27 miles about the ground in Russia in 2014, causing extensive damage and came by undetected from the Sun’s direction; this new one is apparently 60 times bigger, and was detected only 2011. Clearly, humanity is at risk by the asteroid threat and inaction is not an option. World’s scientists including some NASA scientists such as Joseph Nuth have recently lamented our planetary lack of adequate defence against this threat, which has been completely overshadowed by humanity’s other priorities, which pale in comparison when considering the fact that our species survival depends on an effective anti-asteroid defence — that may require the use of nuclear weapons. Yet, despite some feeble initiatives to track and monitor the asteroids, NASA had admitted that some ten percent of the incoming asteroids, i.e., over 10,000, are still not covered by their system, which requires a great deal more funding and human resources, such as increased number of observation points around the world. What is more, the present efforts in asteroid prevention are still in the stage of infancy and initial testing, basically proceeding at snail speed, again mainly due to the woefully inadequate resources committed to these projects, decried by the world’s scientists, some of whom are adamant about the need for nuclear-ready space missions as part of a contingency plan vis-à-vis any asteroid on a collision course with our vulnerable planet. This is one of several options studied at the moment, all of which are still on paper and, on the whole, out of sync with the urgency of the matter that calls for a massive allocation of new resources that, in turn, can even boost the economy by producing new jobs. Hence, it is only logical that US and Russia, which have also collaborated in promoting a UN-based asteroid information network, put aside their present cold war differences and enhance their cooperation for the sake of planetary survival. It is in the vital national interests of both nations to do so, given the common concern about the asteroid threat, that eclipses any human threat such as terrorism by a huge margin. This problem is, unfortunately, sidelined due to the preoccupation with geopolitical considerations, pointing at humanity’s folly.

#### The mining itself increases the risk of asteroid collisions

Byers and Boley 19 [Michael Byers, Professor of Political Science at the University of British Columbia, BA in Political Studies and Phd in International Law from Cambridge, Byers has written a number of op-ed articles on space issues. Relax: An asteroid will just miss hitting Earth. But our actions could still have a deep impact. March 19, 2019. https://www.theglobeandmail.com/opinion/article-relax-an-asteroid-will-just-miss-hitting-earth-but-our-actions-could/]

Beyond the battle over resource extraction lies a more existential threat: the act of removing large quantities of mass from an asteroid could change its trajectory, potentially leading to a human-caused Earth impact. For this reason, any asteroid mining will have to be fully informed by astrodynamics, and closely regulated under international rules. And while the U.S., Luxembourg and Russia might regulate asteroid-mining companies closely with the involvement of planetary scientists, what would happen if a mining company were to incorporate a “flag of convenience state” such as Panama or Liberia? Would the same respect be paid to science and safety?

#### They cause nuke war, miscalc, and extinction

Baum 19 (Executive director of the Global Catastrophic Risk Institute,“Risk-Risk Tradeoff Analysis of Nuclear Explosives for Asteroid Deflection,” May 31, 2019, https://onlinelibrary.wiley.com/doi/epdf/10.1111/risa.13339.)

The most severe asteroid collisions and nuclear wars can cause global environmental effects. The core mechanism is the transport of particulate matter into the stratosphere, where it can spread worldwide and remain aloft for years or decades. Large asteroid collisions create large quantities of dust and large fireballs; the fire heats the dust so that some portion of it rises into the stratosphere. The largest collisions, such as the 10km Chicxulub impactor, can also eject debris from the collision site into space; upon reentry into the atmosphere, the debris heats up enough to spark global fires (Toon, Zahnle, Morrison, Turco, & Covey, 1997). The fires are a major impact in their own right and can send additional smoke into the stratosphere. For nuclear explosions, there is also a fireball and smoke, in this case from the burning of cities or other military targets.

While in the stratosphere, the particulate matter blocks sunlight and destroys ozone (Toon et al., 2007). The ozone loss increases the amount of ultraviolet radiation reaching the surface, causing skin cancer and other harms (Mills, Toon, Turco, Kinnison, & Garcia, 2008). The blocked sunlight causes abrupt cooling of Earth’s surface and in turn reduced precipitation due to a weakened hydrological cycle. The cool, dry, and dark conditions reduce plant growth. Recent studies use modern climate and crop models to examine the effects for a hypothetical IndiaPakistan nuclear war scenario with 100 weapons (50 per side) each of 15KT yield. The studies find agriculture declines in the range of approximately 2% to 50% depending on the crop and location.11 Another study compares the crop data to existing poverty and malnourishment and estimates that the crop declines could threaten starvation for two billion people (Helfand, 2013). However, the aforementioned studies do not account for new nuclear explosion fire simulations that find approximately five times less particulate matter reaching the stratosphere, and correspondingly weaker global environmental effects (Reisner et al., 2018). Note also that the 100 weapon scenario used in these studies is not the largest potential scenario. Larger nuclear wars and large asteroid collisions could cause greater harm. The largest asteroid collisions could even reduce sunlight below the minimum needed for vision (Toon et al., 1997). Asteroid risk analyses have proposed that the global environmental disruption from large collisions could cause one billion deaths (NRC, 2010) or the death of 25% of all humans (Chapman, 2004; Chapman & Morrison, 1994; Morrison, 1992), though these figures have not been rigorously justified (Baum, 2018a).

The harms from asteroid collisions and nuclear wars can also include important secondary effects. The food shortages from severe global environmental disruption could lead to infectious disease outbreaks as public health conditions deteriorate (Helfand, 2013). Law and order could be lost in at least some locations as people struggle for survival (Maher & Baum, 2013). Today’s complex global political-economic system already shows fragility to shocks such as the 2007- 2008 financial crisis (Centeno, Nag, Patterson, Shaver, & Windawi, 2015); an asteroid collision or nuclear war could be an extremely large shock. The systemic consequences of a nuclear war would be further worsened by the likely loss of major world cities that serve as important hubs in the global economy. Even a single detonation in nuclear terrorism would have ripple effects across the global political-economic system (similar to, but likely larger than, the response prompted by the terrorist attacks of 11 September 2001).

It is possible for asteroid collisions to cause nuclear war. An asteroid explosion could be misinterpreted as a nuclear attack, prompting nuclear attack that is believed to be retaliation. For example, the 2013 Chelyabinsk event occurred near an important Russian military installation, prompting concerns about the event’s interpretation (Harris et al., 2015).

The ultimate severity of an asteroid collision or violent nuclear conflict use would depend on how human society reacts. Would the reaction be disciplined and constructive: bury the dead, heal the sick, feed the hungry, and rebuild all that has fallen? Or would the reaction be disorderly and destructive: leave the rubble in place, fight for scarce resources, and descend into minimalist tribalism or worse? Prior studies have identified some key issues, including the viability of trade (Cantor, Henry, & Rayner, 1989) and the self-sufficiency of local communities (Maher & Baum, 2013). However, the issue has received little research attention and remains poorly understood. This leaves considerable uncertainty in the total human harm from an asteroid collision or nuclear weapons use. Previously published point estimates of the human consequences of asteroid collisions12 and nuclear wars (Helfand, 2013) do not account for this uncertainty and are likely to be inaccurate.

Of particular importance are the consequences for future generations, which could vastly outnumber the present generation. If an asteroid collision or nuclear war would cause human extinction, then there would be no future generations. Alternatively, if survivors fail to recover a large population and advanced technological civilization, then future generations would be permanently diminished. The largest long-term factor is whether future generations would colonize space and benefit from its astronomically large amount of resources (Tonn, 1999). However, it is not presently known which asteroid collisions or nuclear wars (if any) would cause the permanent collapse of human civilization and thus the loss of the large future benefits (Baum et al., 2019). Given the enormous stakes, prudent risk management would aim for very low probabilities of permanent collapse (Tonn, 2009).

#### Reject conventional risk calculus – the magnitude and inevitability of emerging NEO threats requires a totally different model for risk calculus

Koplow 19 [David A. Koplow. Professor of Law at Georgetown University. He specializes in the areas of public international law, national security law, and the intersection between international law and U.S. constitutional law. Koplow served as Special Counsel for Arms Control to the General Counsel of the Department of Defense (2009-2011); Deputy General Counsel for International Affairs at the Department of Defense (1997-1999); and as Attorney-Advisor and Special Assistant to the Director of the U.S. Arms Control and Disarmament Agency (1978-1981). A Rhodes scholar, Koplow graduated from Harvard College and Yale Law School. "Exoatmospheric Plowshares: Using a Nuclear Explosive Device for Planetary Defense against an Incoming Asteroid," UCLA Journal of International Law and Foreign Affairs 23, no. 1 (Spring 2019): 76-158]

Astronomers are fond of observing that the real question is not "whether" Earth will again be struck by a large asteroid, but "when." We can detect around the planet the remnants of scores of impact craters of diverse size and age left by previous NEOs, and the pockmarks are even more obvious on the Moon and other celestial bodies, where erosion has not degraded their silhouettes. As asteroids pinball around the Solar System, it is only a matter of time before the next jarring impact-time that might be measured in months or in millions of years.

The potential consequences of such a collision beggar belief Prehistoric experience demonstrates that all of human civilization, as well as most or all other forms of life on Earth, may hang in the balance. Even a more moderately sized asteroid could devastate a community or a country in an instant. As Igor Ashurbeyli assesses the stakes, developing countermeasures to this apocalyptic threat "must become the most important task that humanity must solve in the 2 1st century. "211

But the time frame matters, too. If we knew, hypothetically, that an extinction-level event was not going to occur for thousands or millions of years, why would we devote time, attention, and money to it now? A known risk of extermination, eons into the future, would pose profound philosophical and psychological conundrums, but preemptively responding to it would not be on anyone's active "to-do list" for generations.

Still, timing matters in another way, too. With our present state of astronomical intelligence, we cannot be certain about our planet's prolonged safety, and we must exhibit appropriate modesty about our confidence in the completeness of the inventory of known NEOs. Accordingly, the planet may not have much advance notice about the next Chicxulub, and we may be no more able than the dinosaurs to immediately invent our way out of an unanticipated fatal space specter. Frances Lyall and Paul B. Larsen summarize the issue this way: "Time might be too short adequately to deal with the crisis-missile or other technology has to be prepared." 2 12

It is difficult for humans to think rationally about this sort of problem-it is hard to get our collective minds around such enormous consequences and such tiny probabilities simultaneously-especially when people have so little first-hand experience with the causal phenomenon. A 2010 study by the National Academy of Sciences referred to this as a classic "zero times infinity" problem that thwarts human cognitive processing.213 Cass Sunstein and Richard Zeckhauser label the resulting bias in decision-making as "probability neglect"-a propensity to misunderstand the fearsome risks that are so difficult to conceptualize.2 14 Behavioral economics literature abounds with examinations of the collective non-rationality in our species' approach to high-severity/low-probability events, leading to extreme discounting of remote future catastrophes, to the detriment of individuals and society.2 15

The underdeveloped state of international law on trans-border disasters reflects this cognitive deficit. Perhaps this should not be surprising-the tasks of preventing, responding to, and rebuilding after global catastrophes are daunting. These are topics that sovereign states, as well as individual human beings, shy away from addressing-they are uncomfortable to think about; they can involve sharing resources, as well as sympathy, with foreigners; and they seem to call for spending immense sums of money on vanishingly remote contingencies. It will never be easy to marshal political support for developing, improving, and sustaining planetary defense capabilities that in all likelihood will never be exercised during any government official's term in office or even lifetime.216 Nevertheless, planetary defense represents one of the occasions in which these psychological barriers must be overcome.

The extended time frame in dealing with asteroids places special burdens on the effort to think rationally about very-low-probability dangers, because the people at risk are (likely) not ourselves but our far-distant progeny, generations so remote that the emotional connection to them is strained. We can appreciate that the good work of IAWN and SMPAG today may help increase the odds of our species' survival, but we must also be aware that the counter-asteroid technology available to earthlings a century or two from now will surely surpass today's puny capabilities in ways we cannot imagine.2 17 Collision with a body of 3-5 km diameter) could kill, say, half the world's population (soon to reach eight billion people) sometime in the next million years. On an actuarial basis, that works out to 4,000 statistical deaths annually. That is surely a significant fatality rate-enough to warrant substantial financial investment-even though the incidents would be extraordinarily "lumpy," in the sense that for almost all of those one million years, there would be no deaths at all due to asteroids, but in one year there would be an unprecedented catastrophe. At this rate, asteroids would rank above many other natural and bizarre phenomena that people fear (and that societies attempt to do something about), such as floods, tornados, airplane crashes, terrorism, or choking. Asteroids, however, would still fall far below other leading causes of death, such as automobile accidents, communicable diseases, and tobacco use. 218

One plausible formula would be to posit that a major NEO impact (a collision with a body of 3-5 km diameter) could kill, say, half the world's population (soon to reach eight billion people) some time in the next million years. On an actuarial basis, that works out to 4,000 statistical deaths annually. That is surely a significant fatality rate-enough to warrant substantial financial investment-even though the incidents would be extraordinarily "lumpy," in the sense that for almost all of those one million years, there would be no deaths at all due to asteroids, but in one year there would be an unprecedented catastrophe. At this rate, asteroids would rank above many other natural and bizarre phenomena that people fear (and that societies attempt to do something about), such as floods, tornados, airplane crashes, terrorism, or choking. Asteroids, however, would still fall far below other leading causes of death, such as automobile accidents, communicable diseases, and tobacco use. 2 18

This weird combination of probabilities and consequences promotes what many call the "giggle factor": humans' seemingly congenital reluctance to discuss planetary defense seriously without retreating to the silliest tropes about alien attacks or sci-fi thrillers. The topic seems to be ripped from kitschy movie trailers, not news headlines. 2 19

An additional fear factor here is the danger of surprise. If a significant asteroid were to arrive without warning-as in the Chelyabinsk incident-the afflicted country might perceive that it had been attacked by a hostile neighbor, rather than by a fickle Mother Nature. If, by further malign luck, the event happened to occur during a period of heightened international tensions, the propensity to misinterpret, and to respond precipitously, would rise. The unforeseen space object could thus catalyze a larger human-caused tragedy.2 20

## Framework

#### The standard is maximizing expected wellbeing.

#### 1] Extinction outweighs – value to life is inevitable, so subjective it can’t be the basis for impact calculus, and discussing extinction is good even if we don’t solve it

Khan 18 [Risalat, activist and entrepreneur from Bangladesh passionate about addressing climate change, biodiversity loss, and other existential challenges. He was featured by The Guardian as one of the “young climate campaigners to watch” (2015). As a campaigner with the global civic movement Avaaz (2014-17), Risalat was part of a small core team that spearheaded the largest climate marches in history with a turnout of over 800,000 across 2,000 cities. After fighting for the Paris Agreement, Risalat led a campaign joined by over a million people to stop the Rampal coal plant in Bangladesh to protect the Sundarbans World Heritage forest, and elicited criticism of the plant from Crédit Agricolé through targeted advocacy. Currently, Risalat is pursuing an MPA in Environmental Science and Policy at Columbia University as a SIPA Environmental Fellow, “5 reasons why we need to start talking about existential risks,” https://www.weforum.org/agenda/2018/01/5-reasons-start-talking-existential-risks-extinction-moriori/]

Infinite future possibilities I find the story of the Moriori profound. It teaches me two lessons. Firstly, that human culture is far from immutable. That we can struggle against our baser instincts. That we can master them and rise to unprecedented challenges. Secondly, that even this does not make us masters of our own destiny. We can make visionary choices, but the future can still surprise us. This is a humbling realization. Because faced with an uncertain future, the only wise thing we can do is prepare for possibilities. Standing at the launch pad of the Fourth Industrial Revolution, the possibilities seem endless. They range from an era of abundance to the end of humanity, and everything in between. How do we navigate such a wide and divergent spectrum? I am an optimist. From my bubble of privilege, life feels like a rollercoaster ride full of ever more impressive wonders, even as I try to fight the many social injustices that still blight us. However, the accelerating pace of change amid uncertainty elicits one fundamental observation. Among the infinite future possibilities, only one outcome is truly irreversible: extinction. Concerns about extinction are often dismissed as apocalyptic alarmism. Sometimes, they are. But repeating that mankind is still here after 70 years of existential warning about nuclear warfare is a straw man argument. The fact that a 1000-year flood has not happened does not negate its possibility. And there have been far too many nuclear near-misses to rest easy. As the World Economic Forum’s Annual Meeting in Davos discusses how to create a shared future in a fractured world, here are five reasons why the possibility of existential risks should raise the stakes of conversation: 1. Extinction is the rule, not the exception More than 99.9% of all the species that ever existed are gone. Deep time is unfathomable to the human brain. But if one cares to take a tour of the billions of years of life’s history, we find a litany of forgotten species. And we have only discovered a mere fraction of the extinct species that once roamed the planet. In the speck of time since the first humans evolved, more than 99.9% of all the distinct human cultures that have ever existed are extinct. Each hunter-gatherer tribe had its own mythologies, traditions and norms. They wiped each other out, or coalesced into larger formations following the agricultural revolution. However, as major civilizations emerged, even those that reached incredible heights, such as the Egyptians and the Romans, eventually collapsed. It is only in the very recent past that we became a truly global civilization. Our interconnectedness continues to grow rapidly. “Stand or fall, we are the last civilization”, as Ricken Patel, the founder of the global civic movement Avaaz, put it. 2. Environmental pressures can drive extinction More than 15,000 scientists just issued a ‘warning to humanity’. They called on us to reduce our impact on the biosphere, 25 years after their first such appeal. The warning notes that we are far outstripping the capacity of our planet in all but one measure of ozone depletion, including emissions, biodiversity, freshwater availability and more. The scientists, not a crowd known to overstate facts, conclude: “soon it will be too late to shift course away from our failing trajectory, and time is running out”. In his 2005 book Collapse, Jared Diamond charts the history of past societies. He makes the case that overpopulation and resource use beyond the carrying capacity have often been important, if not the only, drivers of collapse. Even though we are making important incremental progress in battles such as climate change, we must still achieve tremendous step changes in our response to several major environmental crises. We must do this even while the world’s population continues to grow. These pressures are bound to exert great stress on our global civilization. 3. Superintelligence: unplanned obsolescence? Imagine a monkey society that foresaw the ascendance of humans. Fearing a loss of status and power, it decided to kill the proverbial Adam and Eve. It crafted the most ingenious plan it could: starve the humans by taking away all their bananas. Foolproof plan, right? This story describes the fundamental difficulty with superintelligence. A superintelligent being may always do something entirely different from what we, with our mere mortal intelligence, can foresee. In his 2014 book Superintelligence, Swedish philosopher Nick Bostrom presents the challenge in thought-provoking detail, and advises caution. Bostrom cites a survey of industry experts that projected a 50% chance of the development of artificial superintelligence by 2050, and a 90% chance by 2075. The latter date is within the life expectancy of many alive today. Visionaries like Stephen Hawking and Elon Musk have warned of the existential risks from artificial superintelligence. Their opposite camp includes Larry Page and Mark Zuckerberg. But on an issue that concerns the future of humanity, is it really wise to ignore the guy who explained the nature of space to us and another guy who just put a reusable rocket in it? 4. Technology: known knowns and unknown unknowns Many fundamentally disruptive technologies are coming of age, from bioengineering to quantum computing, 3-D printing, robotics, nanotechnology and more. Lord Martin Rees describes potential existential challenges from some of these technologies, such as a bioengineered pandemic, in his book Our Final Century. Imagine if North Korea, feeling secure in its isolation, could release a virulent strain of Ebola, engineered to be airborne. Would it do it? Would ISIS? Projecting decades forward, we will likely develop capabilities that are unthinkable even now. The unknown unknowns of our technological path are profoundly humbling. 5. 'The Trump Factor' Despite our scientific ingenuity, we are still a confused and confusing species. Think back to two years ago, and how you thought the world worked then. Has that not been upended by the election of Donald Trump as US President, and everything that has happened since? The mix of billions of messy humans will forever be unpredictable. When the combustible forces described above are added to this melee, we find ourselves on a tightrope. What choices must we now make now to create a shared future, in which we are not at perpetual risk of destroying ourselves? Common enemy to common cause Throughout history, we have rallied against the ‘other’. Tribes have overpowered tribes, empires have conquered rivals. Even today, our fiercest displays of unity typically happen at wartime. We give our lives for our motherland and defend nationalistic pride like a wounded lion. But like the early Morioris, we 21st-century citizens find ourselves on an increasingly unstable island. We may have a violent past, but we have no more dangerous enemy than ourselves. Our task is to find our own Nunuku’s Law. Our own shared contract, based on equity, would help us navigate safely. It would ensure a future that unleashes the full potential of our still-budding human civilization, in all its diversity. We cannot do this unless we are humbly grounded in the possibility of our own destruction. Survival is life’s primal instinct. In the absence of a common enemy, we must find common cause in survival. Our future may depend on whether we realize this.

#### 2] Actor-specificity

Mack 4 [(Peter, MBBS, FRCS(Ed), FRCS (Glasg), PhD, MBA, MHlthEcon) “Utilitarian Ethics in Healthcare.” International Journal of the Computer, the Internet, and Management Vol. 12, No.3. 2004. Department of Surgery. Singapore General Hospital.] SJDI

Medicine is a costly science, but of greater concern to the health economist is that it is also a limitless art. Every medical advance created new needs that did not exist until the means of meeting them came into existence. Physicians are reputed to have an infinite capacity to do ever more things, and perform ever more expensive interventions for their patients so long as any of their patients’ health needs remain unfulfilled. The traditional stance of the physician is that each patient is an isolated universe. When confronted with a situation in which his duty involves a competition for scarce medications or treatments, he would plead the patient’s cause by all methods, short of deceit. However, when the physician’s decision involves more than just his own patient, or has some commitment to public health, other issues have to be considered. He then has to recognise that the unbridled advocacy of the patient may not square with what the economist perceives to be the most advantageous policy to society as a whole. Medical professionals characteristically deplore scarcities. Many of them are simply not prepared to modify their intransigent principle of unwavering duty to their patients’ individual interest. However, in decisions involving multiple patients, making available more medication, labour or expenses for one patient will mean leaving less for another. The physician is then compelled by his competing loyalties to enter into a decision mode of one versus many, where the underlying constraint is one of finiteness of the commodities. Although the medical treatment may be simple and inexpensive in many instances, there are situations such as in renal dialysis, where prioritisation of treatment poses a moral dilemma because some patients will be denied the treatment and perish. Ethics and economics share areas of overlap. They both deal with how people should behave, what policies the state should pursue and what obligations citizens owe to their governments. The centrality of the human person in both normative economics and normative ethics is pertinent to this discussion. Economics is the study of human action in the marketplace whereas ethics deals with the “rightness” or “wrongness” of human action in general. Both disciplines are rooted in human reason and human nature and the two disciplines intersect at the human person and the analysis of human action. From the economist’s perspective, ethics is identified with the investigation of rationally justifiable bases for resolving conflict among persons with divergent aims and who share a common world. Because of the scarcity of resources, one’s success is another person’s failure. Therefore ethics search for rationally justifiable standards for the resolution of interpersonal conflict. While the realities of human life have given rise to the concepts of property, justice and scarcity, the management of scarcity requires the exercise of choice, since having more of some goods means having less of others. Exercising choice in turn involves comparisons, and comparisons are based on principles. As ethicists, the meaning of these principles must be sought in the moral basis that implementing them would require. For instance, if the implementation of distributive justice in healthcare is founded on the basis of welfare-based principles, as opposed to say resource-based principles, it means that the health system is motivated by the idea that what is of primary moral importance is the level of welfare of the people. This means that all distributive questions should be settled according to which distribution maximises welfare. Utilitarianism is fundamentally welfarist in its philosophy. Application of the principle to healthcare requires a prior understanding of the welfarist theory as expounded by the economist. Conceptually, welfarist theory is built on four tenets: utility maximisation, consumer sovereignty, consequentialism and welfarism. Utility maximisation embodies the behavioural proposition that individuals choose rationally, but it does not address the morality of rational choice. Consumer sovereignty is the maxim that individuals are the best judge of their own welfare. Consequentialism holds that any action or choice must be judged exclusively in terms of outcomes. Welfarism is the proposition that the “goodness” of the resource allocation be judged solely on the welfare or utility levels in that situation. Taken together these four tenets require that a policy be judged solely in terms of the resulting utilities achieved by individuals as assessed by the individuals themselves. Issues of who receives the utility, the source of the utility and any non-utility aspects of the situation are ignored.

#### 3] only util can explain degrees of wrongness – it is worse to lie about taking a dying friend to the hospital than it is to lie about how someone looks – either ethical theories cannot explain comparative badness, or they collapse to util

#### 4] Non util ethics are impossible

Greene 10 – Joshua, Associate Professor of Social science in the Department of Psychology at Harvard University

(The Secret Joke of Kant’s Soul published in Moral Psychology: Historical and Contemporary Readings, accessed: www.fed.cuhk.edu.hk/~lchang/material/Evolutionary/Developmental/Greene-KantSoul.pdf)

**What turn-of-the-millennium science** **is telling us is that human moral judgment is not** a **pristine rational enterprise**, that our **moral judgments are driven by a hodgepodge of emotional dispositions, which themselves were shaped by** a hodgepodge **of evolutionary forces, both biological and cultural**. **Because of this, it is exceedingly unlikely that there is any rationally coherent normative moral theory that can accommodate our moral intuitions**. Moreover, **anyone who claims to have such a theory**, or even part of one, **almost certainly doesn't**. Instead, what that person probably has is a moral rationalization. It seems then, that we have somehow crossed the infamous "is"-"ought" divide. How did this happen? Didn't Hume (Hume, 1978) and Moore (Moore, 1966) warn us against trying to derive an "ought" from and "is?" How did we go from descriptive scientific theories concerning moral psychology to skepticism about a whole class of normative moral theories? The answer is that we did not, as Hume and Moore anticipated, attempt to derive an "ought" from and "is." That is, our method has been inductive rather than deductive. We have inferred on the basis of the available evidence that the phenomenon of rationalist deontological philosophy is best explained as a rationalization of evolved emotional intuition (Harman, 1977). Missing the Deontological Point I suspect that **rationalist deontologists will remain unmoved by the arguments presented here**. Instead, I suspect, **they** **will insist that I have simply misunderstood what** Kant and like-minded **deontologists are all about**. **Deontology, they will say, isn't about this intuition or that intuition**. It's not defined by its normative differences with consequentialism. **Rather, deontology is about taking humanity seriously**. Above all else, it's about respect for persons. It's about treating others as fellow rational creatures rather than as mere objects, about acting for reasons rational beings can share. And so on (Korsgaard, 1996a; Korsgaard, 1996b). **This is, no doubt, how many deontologists see deontology. But this insider's view**, as I've suggested, **may be misleading**. **The problem**, more specifically, **is that it defines deontology in terms of values that are not distinctively deontological**, though they may appear to be from the inside. **Consider the following analogy with religion. When one asks a religious person to explain the essence of his religion, one often gets an answer like this: "It's about love**, really. It's about looking out for other people, looking beyond oneself. It's about community, being part of something larger than oneself." **This sort of answer accurately captures the phenomenology of many people's religion, but it's nevertheless inadequate for distinguishing religion from other things**. This is because many, if not most, non-religious people aspire to love deeply, look out for other people, avoid self-absorption, have a sense of a community, and be connected to things larger than themselves. In other words, secular humanists and atheists can assent to most of what many religious people think religion is all about. From a secular humanist's point of view, in contrast, what's distinctive about religion is its commitment to the existence of supernatural entities as well as formal religious institutions and doctrines. And they're right. These things really do distinguish religious from non-religious practices, though they may appear to be secondary to many people operating from within a religious point of view. In the same way, I believe that most of **the standard deontological/Kantian self-characterizatons fail to distinguish deontology from other approaches to ethics**. (See also Kagan (Kagan, 1997, pp. 70-78.) on the difficulty of defining deontology.) It seems to me that **consequentialists**, as much as anyone else, **have respect for persons**, **are against treating people as mere objects,** **wish to act for reasons that rational creatures can share, etc**. **A consequentialist respects other persons, and refrains from treating them as mere objects, by counting every person's well-being in the decision-making process**. **Likewise, a consequentialist attempts to act according to reasons that rational creatures can share by acting according to principles that give equal weight to everyone's interests**

**, i.e. that are impartial**. This is not to say that consequentialists and deontologists don't differ. They do. It's just that the real differences may not be what deontologists often take them to be. What, then, distinguishes deontology from other kinds of moral thought? A good strategy for answering this question is to start with concrete disagreements between deontologists and others (such as consequentialists) and then work backward in search of deeper principles. This is what I've attempted to do with the trolley and footbridge cases, and other instances in which deontologists and consequentialists disagree. **If you ask a deontologically-minded person why it's wrong to push someone in front of speeding trolley in order to save five others, you will get** characteristically deontological **answers**. Some **will be tautological**: **"Because it's murder!"** **Others will be more sophisticated: "The ends don't justify the means**." "You have to respect people's rights." **But**, as we know, **these answers don't really explain anything**, because **if you give the same people** (on different occasions) **the trolley case** or the loop case (See above), **they'll make the opposite judgment**, even though their initial explanation concerning the footbridge case applies equally well to one or both of these cases. **Talk about rights, respect for persons, and reasons we can share are natural attempts to explain, in "cognitive" terms, what we feel when we find ourselves having emotionally driven intuitions that are odds with the cold calculus of consequentialism**. Although these explanations are inevitably incomplete, **there seems to be "something deeply right" about them because they give voice to powerful moral emotions**. **But, as with many religious people's accounts of what's essential to religion, they don't really explain what's distinctive about the philosophy in question**.