# 1AC—Mining

### Inherency

#### Private entities are increasing mining now – US is key

Robert Garcia 18, currently an LLM Candidate in Cornell Law School's Law, Entrepreneurship & Technology program at Cornell Tech in NYC., “Regulating International Space Mining, an Enormous Industry,” Pacific Council on International Policy, 10-23-2018, https://www.pacificcouncil.org/newsroom/regulating-international-space-mining-enormous-industry

In 2015, the United States passed the U.S. Commercial Space Launch Competitiveness Act. The law’s passage has caused some consternation in the international space exploration community, as it specifically contemplates U.S. citizens performing commercial recovery in what would be a clear appropriation of asteroid and space resources. The law in pertinent part states that such U.S. citizens: shall be entitled to any asteroid resource or space resource obtained, including to possess, own, transport, use and sell the asteroid resource or space resource obtained in accordance with applicable law, including the international obligations of the United States (emphasis added). Luxembourg was quick to follow suit, and it passed its own national space mining law styled the Law of 20 July 2017 on the Exploration and Use of Space Resources. This law seeks to parallel U.S. law. However, according to a spokesman for the Luxembourg Ministry of Economy, there is one significant expansion over U.S. law, in that "in the U.S. law, a majority of a company's stakeholders must be in the United States, while the Luxembourg law places no restrictions on stakeholder locations." Critics state that the controlling international law is to be found in the United Nations treaties on space exploration. There are five major space treaties, but two specifically address exploitation of outer space resources. The first is the "Outer Space Treaty" (OST). One hundred nations, including the major spacefaring nations-the United States, China, Japan, and the Russian Federation-have ratified it. A subsequent treaty-the Moon Agreement-provides in a relevant part in Article 11, Paragraph 2, that "[t]he moon is not subject to national appropriation by any claim of sovereignty, by means of use or occupation, or by any other means." As of January 2018, relatively few states (18 total) had ratified the Moon Agreement and four additional states had signed but not ratified the agreement. However, of these 22 states, perhaps only Australia, France, and India have the capability to launch space vehicles. The Moon Agreement could have provided some guidance on exploitation of space resources, as it ostensibly prohibits claiming lunar natural resources for private ownership. However, the United States and Luxembourg are not parties to the Moon Agreement, and in consequence the treaty has no "governing effect." Nonetheless, some legal scholars contend that the United States would be in breach of its international obligations if it were to "unilaterally pretend" that its citizens may exercise ownership over extracted space resources, given the absence of recognition of such rights under international law. Clearly it is the stated aim of both the United States and Luxembourg to promote the commercial exploitation of space resources. The two nations’ respective pieces of legislation attempt to provide a legal basis for private citizens to engage in such activities, which some critics would characterize as prohibited "appropriation" under international law. The international community would be well-served by resolving the issue conclusively with an appropriate body of rules. As the technologies advance, we are inexorably headed toward space mining becoming a reality. Whether it will lead to increased resources, providing a net benefit for all people on earth, or serve to increase economic inequality by disproportionately favoring the spacefaring nations remains to be seen.

#### It causes dangerous space mining and deregulation globally – multilateralism solves.

Edd Gent 20, freelance science and technology writer, “Space Mining Should Be a Global Project—But It's Not Starting Off That Way,” Singularity Hub, 10-12-2020, https://singularityhub.com/2020/10/12/the-us-is-trying-to-hijack-space-mining-and-there-could-be-disastrous-consequences/

Exploiting the resources of outer space might be key to the future expansion of the human species. But researchers argue that the US is trying to skew the game in its favor, with potentially disastrous consequences. The enormous cost of lifting material into space means that any serious effort to colonize the solar system will require us to rely on resources beyond our atmosphere. Water will be the new gold thanks to its crucial role in sustaining life, as well as the fact it can be split into hydrogen fuel and oxygen for breathing. Regolith found on the surface of rocky bodies like the moon and Mars will be a crucial building material, while some companies think it will eventually be profitable to extract precious metals and rare earth elements from asteroids and return them to Earth. But so far, there’s little in the way of regulation designed to govern how these activities should be managed. Now two Canadian researchers argue in a paper in Science that recent policy moves by the US are part of a concerted effort to refocus international space cooperation towards short-term commercial interests, which could precipitate a “race to the bottom” that sabotages efforts to safely manage the development of space. Aaron Boley and Michael Byers at the University of British Columbia trace back the start of this push to the 2015 Commercial Space Launch Competitiveness Act, which gave US citizens and companies the right to own and sell space resources under US law. In April this year, President Trump doubled down with an executive order affirming the right to commercial space mining and explicitly rejecting the idea that space is a “global commons,” flying in the face of established international norms. Since then, NASA has announced that any countries wishing to partner on its forthcoming Artemis missions designed to establish a permanent human presence on the moon will have to sign bilateral agreements known as Artemis Accords. These agreements will enshrine the idea that commercial space mining will be governed by national laws rather than international ones, the authors write, and that companies can declare “safety zones” around their operations to exclude others. Speaking to Space.com Mike Gold, the acting associate administrator for NASA’s Office of International and Interagency Relations, disputes the authors’ characterization of the accords and says they are based on the internationally-recognized Outer Space Treaty. He says they don’t include agreement on national regulation of mining or companies’ rights to establish safety zones, though they do assert the right to extract and use space resources. But given that they’ve yet to be released or even finalized, it’s not clear how far these rights extend or how they are enshrined in the agreements. And the authors point out that the fact that they are being negotiated bilaterally means the US will be able to use its dominant position to push its interpretation of international law and its overtly commercial goals for space development. Space policy designed around the exploitation of resources holds many dangers, say the paper authors. For a start, loosely-regulated space mining could result in the destruction of deposits that could hold invaluable scientific information. It could also kick up dangerous amounts of lunar dust that can cause serious damage to space vehicles, increase the amount of space debris, or in a worst-case scenario, create meteorites that could threaten satellites or even impact Earth. By eschewing a multilateral approach to setting space policy, the US also opens the door to a free-for-all where every country makes up its own rules. Russia is highly critical of the Artemis Accords process and China appears to be frozen out of it, suggesting that two major space powers will not be bound by the new rules. That potentially sets the scene for a race to the bottom, where countries compete to set the laxest rules for space mining to attract investment. The authors call on other nations to speak up and attempt to set rules through the UN Committee on the Peaceful Uses of Outer Space. Writing in The Conversation, Scott Shackelford from Indiana University suggests a good model could be the 1959 Antarctic Treaty, which froze territorial claims and reserved the continent for “peaceful purposes” and “scientific investigation.” But the momentum behind the US’ push might be difficult to overcome. Last month, the agency announced it would pay companies to excavate small amounts of regolith on the moon. Boley and Byers admit that if this went ahead and was not protested by other nations, it could set a precedent in international law that would be hard to overcome. For better or worse, it seems that US dominance in space exploration means it’s in the driver’s seat when it comes to setting the rules. As they say, to the victor go the spoils.

### Adv – Russia

#### US-Russia coop high now—space is a rare place for cooperation

**Smith 21** [(Marcia S., Marcia S. Smith is the founder and editor of SpacePolicyOnline.com. She has more than four decades of experience in space policy, including 31 years at the Congressional Research Service (CRS) on Capitol Hill and three at the Space Studies Board (SSB) and Aeronautics and Space Engineering Board (ASEB) of the National Academies of Sciences, Engineering, and Medicine. Prior to CRS, she worked at George Washington University and the American Institute of Aeronautics and Astronautics.) “Putin wants continued space cooperation with the U.S.”, Space Policy Online, 6/14/21] kzheng

Two days before his summit with President Joe Biden, Russian President Vladimir Putin told NBC news that he wants continued space cooperation with the United States. Some Russian officials have been suggesting Russia will pull out of the International Space Station (ISS) in 2025, but Putin said that is a misunderstanding. His comments also come one day before the heads of NASA and Russia’s space agency speak at an international conference in Russia.

NASA Administrator Bill Nelson repeatedly[**points out**](https://spacepolicyonline.com/news/nelson-rogozin-to-talk-on-friday/) that despite the deep divisions between the United States and Russia on many issues, space is one place where the two countries manage to get along. He traces it back to the 1975 Apollo-Soyuz Test Project where U.S. astronauts docked with Soviet cosmonauts for two days of joint operations even though the Cold War was in full force.

The ISS is the centerpiece of that cooperation today. Russia joined the U.S.-European-Japanese-Canadian space station program in 1993.  International crews that always include Russian and American cosmonauts have continuously occupied ISS for over 20 years. The two countries work together hand-in-glove every day to operate the facility’s Russian Orbital Segment and U.S. Orbital Segment (which includes modules from Japan and Europe and a robotic arm from Canada). At the moment, two Russians, three Americans, a Japanese, and a European are on board.

#### Private sector mining inappropriately situated to analyze scientific significance of mining efforts – disrupts treaty obligations ruining IR and multilateralism, contaminates environment with unintended consequences of mining for profit, and eradicates an equitable outer space

**Loder 18** [(Reed Elizabeth, Reed Elizabeth Loder is a professor of law at Vermont Law School and has taught Environmental Ethics, Legal Profession, Moral Philosophy for Professionals, and Property Law. She also has taught Ethics in the Professions at Dartmouth College.), “Asteroid Mining: ecological jurisprudence beyond Earth”, Virginia Environmental Law Journal, vol. 36, no. 3, 2018, pp. 275–317] kzheng

D. Environmental and Other Possible Risks The Space Act takes advantage of a regulation-free environment to allow early exploiters to identify needed limits, which is unjustified for numerous reasons. For all of the uncertainties on Earth about the longterm and remote effects of tampering with the environment, the unknowns in space are orders of magnitude greater. Scientists know very little about the composition of unvisited space bodies and even less about the possible interactions of activities in space. Private corporations, however, have primary legal obligations to shareholder profits and thus too narrow a legal perspective to make judgments that consider the overall public good and the long-term consequences of their actions.107 For the same reason, corporations are inappropriately situated to craft property-sharing schemes that respect international interests and to place greater value on general scientific significance than corporate potential. Specifically, some of the target bodies may contain unknown material that could help to explain the origins of microbial life in mineral environments.108 Asteroids may not turn out to be “lifeless rocks,”109 but instead may contain irreplaceable information that can add to humanity’s knowledge of life. Yet, it is not possible to anticipate when a landing and retrieval project would disrupt material of scientific importance. Private control of asteroids also presents the risk of “backward contamination,” in retrieving space matter and bringing it to Earth.110 So far we have charged mostly public agents with managing this risk.111 The OST and Liability Treaty also create public financial incentives to instill care by holding responsible the state that launches a craft or from whose territory the launch occurs.112 The OST also covers “forward contamination,” which is contamination affecting the target celestial bodies.113 The Space Act invites private visitors to scarify unexplored places but provides no mechanisms to monitor and control the potential for microbial contamination of those places. These are just a few of the uncertainties, risks, and corporate disabilities that infect the idea of private property in asteroid resources. The central ethical questions of how to handle vast uncertainties and risks are hard enough to decide on Earth but are both novel and daunting beyond. Self-interested parties should not be deciding (or ignoring) these formidable questions. Equally concerning, mining asteroids magnifies the risks of disrupting space processes. Deep Space Industries scientist John Lewis implies that mining gets a bad name because of its highly invasive nature on Earth, where valuable minerals are deep in the Earth’s core and difficult to extract without heavy equipment and chemical processes.114 Because asteroids never differentiated into layers, metals and other material are available mostly at the surface and require less invasive extractive methods in a low gravity atmosphere. According to Lewis, this makes launching and removals less cumbersome.115 Yet, the same low-gravity atmosphere will require experimental methods to secure any mining equipment to the asteroid body, which Lewis admits will be a process of trial and error that may involve the entire asteroid.116 Moreover, asteroid prospectors like Lewis know too little at this point about which asteroids might have value in terms of accessibility and composition to develop specific methods of extraction or in situ production of resources have not yet been developed. It is premature to pronounce, therefore, that the environmental damage of asteroid mining should not be a concern. Some recommend a moratorium on this exploration so that further study may be done on the asteroids humans intend to exploit.117 Such a freeze would be limited and would not preclude the slow return of samples from the NASA OSIRIS project,118 a carefully planned and controlled public endeavor. Company representatives seeking a competitive private race to any reachable asteroids have responded to a moratorium proposal by claiming that the public can neither afford to delay space exploration and lose vital access to water for extraterrestrial residence and rocket fuel,119 nor wait for precious metals on Earth to dwindle or run out, increasing environmental damage and human conflict.120 Those who argue that immediate property rights are the only way to reduce environmental harm from mining overlook unpredictable harms from their own endeavors. Those who declare they are owed financial incentives to spur innovative explorations fail to acknowledge their incalculable debt to years of public and international investment and effort. They disregard their nation’s treaty commitments to a more equitable and inclusive use of outer space. Whatever system for allocating priorities develops, caution must be a pervasive principle. The gaps in knowledge about the universe are huge and may never be surmounted.121 Despite humanity’s scientific and technological prowess and achievements, a property framework that accelerates action over understanding, via first in time, regulation-free competition, is a reckless idea.

#### Space is a shared goal that prevents escalation of US-Russia tensions. But privatization threatens it independent of our other internal links

CSIS 18 [(Center for Strategic and International Studies), “Why Human Space Exploration Matters,” August 21, 2018 https://www.csis.org/blogs/post-soviet-post/space-cooperation] TDI

U.S.-Russian space cooperation continues to be a stated mutual goal. In April 2018, President Putin said of space, “Thank God, this field of activity is not being influenced by problems in politics. Therefore, I hope that everything will develop, since it is in the interests of everyone…This is a sphere that unites people. I hope it will continue to be this way.” During his statement at a recent event at CSIS, NASA Administrator Jim Bridenstine said, “[space] is our best opportunity to dialogue when everything else falls apart. We’ve got American astronauts and Russian cosmonauts dependent on each other on the International Space Station, which enables us to ultimately maintain that dialogue.” The U.S. and Russia both benefit from the ISS partnership. Russia provides transportation to the ISS for U.S. astronauts, from which Russia receives an average of $81 million per seat on the Soyuz (and recognition of its status as a space power). The U.S. also benefits from Russia’s technical contributions to the ISS while Russia benefits The U.S. and Russia signed a joint statement in 2017 in support of the idea of collaborating on deep space exploration, including the construction of the Lunar Orbital Platform-Gateway, a research-focused space station orbiting the moon. Through agreements on civilian space exploration, such as the Lunar Orbital Platform-Gateway or future Mars projects, that have clear benefits to both sides, some degree of cooperation will remain in both countries’ interest. The high price tag for pursuing space exploration alone and opportunities for sharing and receiving technical expertise encourages international partnerships like the ISS.

However, at least three factors, apart from the overall deterioration of U.S.-Russia relations, threaten this cooperation. First, growth of the private sector space industry may alter the economic arrangement between the U.S. and Russia, and ultimately lower the benefits of cooperation to both countries. The development of advanced technologies by private companies will give NASA new options to choose from and reduce the need to depend on (and negotiate with) Russia. If NASA and its Russian counterpart, Roskosmos, have no need to talk with one another, they probably won’t in the face of tense political relations. The U.S. intends to use Boeing and SpaceX capsules for human spaceflight beginning in 2020, and a Congressional plan in 2016 set a phase out date of Russian RD-180 rocket engines by 2022.

#### **NASA-RSA space cooperation critical to relations—no other executive agency or domestic company can compete with the interdependence illustrated**

Pedersen et al 95 [(Kenneth, Chairman Research Professor of International Affairs Georgetown University) “Issues in U.S.-Russian Cooperation in Space”, U.S. Congress, Office of Technology Assessment, April 1995] kzheng

NASA has historically conducted international cooperation on a no-exchange-of-funds basis. Since 1992, however, foreign policy and national security interests have led to a significant departure from this precedent in NASA activities with Russia. The effects of this change on NASA and on the place U, S.-Russian space cooperation occupies in the overall U.S.-Russian relationship are discussed in this section. NASA payments to Russian entities, combined with directed procurements from Russian sources under NASA contracts with U.S. industry, will likely total nearly $650 million over the FY 1993-97 period: $400 million for space-station-related goods and services,19 at least $210 million for the initial dockingmechanism purchase and the FGB procurements, $16 million for two Bion biosatellite flights, and at least $10 million in smaller procurements of goods and services. These payments do not constitute assistance from NASA to RSA or to Russian space enterprises. The $400 million NASA-RSA contract covers at least seven Shuttle-Mir rendezvous and docking missions and up to 21 months of U.S. astronaut presence on Mir. NASA expects to gain fundamental experience in joint operations, including risk reduction, command and control, docking the shuttle with large structures in space, performing technology experiments, and executing a joint research program. The contract amount includes $20 million in support for jointly peer-reviewed Russian scientists’ proposals in all space-related disciplines and $25 million toward the cost of the FGB module being purchased by Lockheed from the Khrunichev Enterprise for use in the International Space Station. The FGB procurement by Lockheed, at a cost of $190 million, includes one unit and related services; NASA and RSA have agreed that RSA will contribute to NASA, at no cost, the FGB launch and all services not covered by the Lockheed contract, with the possible exception of some command-and-control software that may be needed.20 The procurements of the docking mechanism, the Bion flights, and other, minor goods and services all involve the use of unique Russian capabilities by NASA at a low cost compared with the cost of developing them indigenously. Nevertheless, no other executive branch agency is transferring funds to Russia at anything approaching this rate. U.S. government funds obligated for assistance to Russia through September 30, 1994, total something over $3 billion,21 but over a third of that total is for in-kind goods (food shipments, principally in FY 1993), and significant funds that were obligated have been lost because of failure to spend them in time. Of the remainder, almost all have been paid to U.S. consultants and other entities to conduct assistance activities in Russia. Meanwhile, other non-NASA executive branch spending in Russia has been relatively minor.22 At the September 1993 Gore-Chernomyrdin Commission meeting, the United States committed $400 million of the NASA total payments to Russia when it agreed to involve Russia in the space station and to conclude an agreement on Russian access to the commercial space-launch market, in exchange for Russia’s agreement to terminate its transfer of cryogenic-rocket-engine technology to India. NASA funding is very important to the Russian space program. Inflation, the dramatic depreciation of the ruble, and conflicting data make it difficult to quantify this impact, but one senior RSA official said that RSA actually received R450 billion from the state treasury during 1994, about half its appropriation. Arguing for more state funding, he asserted that the total of all foreign agreements and contracts “represents just a fourth of our requirements.”23 However, at an average exchange rate of R3,000 = U.S.$1.00, the NASA/ RSA contract alone yielded nearly R200 billion over that period.24 Aside from direct and indirect payments to Russian entities, NASA is committing significant budget resources to expenditures in the United States that are directly related to Russian cooperation. The totals stated by NASA in its FY 1996 budget submission are listed in table 3-1. Each item identified in the table is contained within broader program or project line items in the NASA budget, and some of the amounts in the table, such as the $100 million per year for “Russian Space Agency Contract,” are included in the discussion of transfers to Russia above. In addition, the space station expenditures shown are subsumed within the $2.1 billion/year cap for space station spending.

#### It’s make or break for the relationship—Ukraine, decline of US moral authority on international affairs puts us at the brink of the end of Russian diplomacy and even war

Weir 21 [(Fred Weir has been the Monitor's Moscow correspondent, covering Russia and the former Soviet Union, since 1998. He's traveled over much of that vast territory, reporting on stories ranging from Russia's financial crash to the war in Chechnya, creeping Islamization in central Asia, Russia's demographic crisis, the rise of Vladimir Putin and his repeated returns to the Kremlin, and the ups and downs of US-Russia relations). “Worse than the Cold War? US-Russia relations hit new low.“ Christian Science Monitor 4-20-2021 https://www.csmonitor.com/World/Europe/2021/0420/Worse-than-the-Cold-War-US-Russia-relations-hit-new-low] TDI

Russia’s relations with the West, and the United States in particular, appear to be plumbing depths of acrimony and mutual misunderstanding unseen even during the original Cold War.After years of deteriorating relations, sanctions, tit-for-tat diplomatic expulsions, and an escalating “information war,” some in Moscow are asking if there even is any point in seeking renewed dialogue with the U.S., if only out of concern that more talking might just make things worse. Events have cascaded over the past month. Russia’s treatment of imprisoned dissident Alexei Navalny, who has been sent to a prison hospital amid reports of failing health, underlines the sharp perceived differences between Russia and the West over matters of human rights. Meanwhile, a Russian military buildup near Ukraine has illustrated that the conflict in the Donbass region might explode at any time, possibly even dragging Russia and NATO into direct confrontation. With its relations with Washington at a nadir, Russia is eyeing a more pragmatic, if adversarial, relationship with the U.S. in the hopes of getting the respect it desires. President Joe Biden surprised the Kremlin by proposing a “personal summit” to discuss the growing list of U.S.-Russia disagreements in a phone conversation with Vladimir Putin last week. He later spoke of the need for “disengagement” in the escalating tensions around Ukraine, and postponed a planned visit of two U.S. warships to Russia-adjacent waters in the Black Sea. But days later he also imposed a package of tough sanctions against Russia, for its alleged SolarWinds hacking and interference in the 2020 U.S. presidential elections, infuriating Moscow and drawing threats of retaliation. Last month, after Mr. Biden agreed with a journalist’s intimation that Mr. Putin is a “killer,” the Kremlin ordered Russia’s ambassador to the U.S. to return home for intensive consultations, an almost unprecedented peacetime move. Over the weekend, Russian Foreign Minister Sergey Lavrov suggested that the acting U.S. ambassador to Moscow, John Sullivan, should likewise go back to Washington for a spell. On Tuesday, Mr. Sullivan announced he would do just that this week. And there is a growing sense in Moscow that the downward spiral of East-West ties has reached a point of no return, and that Russia should consider abandoning hopes of reconciliation with the West and seek permanent alternatives: perhaps in an intensified compact with China, and targeted relationships with countries of Europe and other regions that are willing to do business with Moscow. “Things are at rock bottom. This may not be structurally a cold war in the way the old one was, but mentally, in terms of atmosphere, it’s even worse,” says Fyodor Lukyanov, editor of Russia in Global Affairs, a Moscow-based foreign policy journal. “The fact that Biden offered a summit meeting would have sounded a hopeful note anytime in the past. Now, nobody can be sure of that. A hypothetical Putin-Biden meeting might not prove to be a path to better relations, but just the opposite. It could just become a shouting match that would bring a hardening of differences, and make relations look like even more of a dead end.” Room for discussion Foreign policy experts agree that there is a long list of practical issues that could benefit from purposeful high-level discussion. With the U.S. preparing to finally exit Afghanistan, some coordination with regional countries, including Russia and its Central Asian allies, might make the transition easier for everyone. One of Mr. Biden’s first acts in office was to extend the New START arms control agreement, which the Trump administration had been threatening to abandon, but the former paradigm of strategic stability remains in tatters and requires urgent attention, experts say. “If you are looking for opportunities to make the world a safer place through reason and compromise, there are quite a few,” says Andrey Kortunov, director of the Russian International Affairs Council, which is affiliated with the Foreign Ministry. “There are also some areas where the best we could do is agree to disagree, such as Ukraine and human rights issues.” The plight of Mr. Navalny, which has evoked so much outrage in the West, seems unlikely to provide leverage in dealing with the Kremlin because – as Western moral authority fades – Russian public opinion appears indifferent, or even in agreement with its government’s actions. Recent surveys by the Levada Center in Moscow, Russia’s only independent pollster, found that fewer than a fifth of Russians approve of Mr. Navalny’s activities, while well over half disapprove. An April poll found that while 29% of Russians consider Mr. Navalny’s imprisonment unfair, 48% think it is fair. Russian opposition figure Alexei Navalny, shown here during a hearing in the Babuskinsky District Court in Moscow Feb. 12, 2021, is in poor health amid his hunger strike while in prison in Russia. He was recently moved to a prison hospital. Tensions around the Russian-backed rebel republics in eastern Ukraine have been much severer than usual, with a spike in violent incidents on the front line, a demonstrative Russian military buildup near the borders, and strong U.S. and NATO affirmations of support for Kyiv. The Russian narrative claims that Ukrainian President Volodymyr Zelenskiy triggered the crisis a month ago by signing a decree that makes retaking the Russian-annexed territory of Crimea official Ukrainian state policy. Mr. Zelenskiy has also appealed to the U.S. and Europe to expedite Ukraine’s membership in NATO, which Russia has long described as a “red line” that would lead to war. But Russian leaders, who have been at pains to deny any direct involvement in Ukraine’s war for the past seven years, now say openly that they will fight to defend the two rebel republics. Top Kremlin official Dmitry Kozak even warned that if conflict erupts, it could be “the beginning of the end” for Ukraine. “This is a very desperate situation,” says Vadim Karasyov, director of the independent Institute of Global Strategies in Kyiv. “We know the West is not going to help Ukraine militarily if it comes to war. So we need to find some kind of workable compromises, not more pretexts for war.” Time to turn eastward? In this increasingly vexed atmosphere, the Russians appear to be saying there is no point in Mr. Putin and Mr. Biden meeting unless an agenda has been prepared well in advance, setting out a few achievable goals and leaving aside areas where there can be no agreement. “Russia isn’t going to take part in another circus like we had with Trump in Helsinki in 2018,” says Sergei Markedonov, an expert with MGIMO University in Moscow. “What is needed is a deeper dialogue. That could begin if we had a real old-fashioned summit between Biden and Putin, one that has been calculated to yield at least some positive results. We need to find a modus vivendi going forward, and the present course is not leading there.” Alternatively, Russia may turn away from any hopes of even pragmatic rapprochement with the West, experts warn. Mr. Lukyanov, who maintains close contact with his Chinese counterparts, says they felt blindsided at a summit with U.S. foreign policy chiefs in Alaska last month, when what they expected to be a practical discussion of how to overcome the acrimonious Trump-era legacy in their relations turned into what they saw as a U.S. lecture about how China needs to obey the “rules-based” international order. “It was the Chinese, in the past, who were very cautious about participating” in anything that looked like an anti-Western alliance, says Mr. Lukyanov. “We are hearing a new tone from them now. Now our growing relationship with China isn’t just about compensating for a lack of relations with the U.S. It’s about the need to build up a group of countries that will resist the U.S., aimed at containing U.S. activities and policies that are harmful to our two countries.”

#### It’s existential.

Owen Cotton-Barratt 17. PhD in Pure Mathematics, Oxford, Lecturer in Mathematics at Oxford, Research Associate at the Future of Humanity Institute. 2-3-2017. “Existential Risk: Diplomacy and Governance.” https://www.fhi.ox.ac.uk/wp-content/uploads/Existential-Risks-2017-01-23.pdf

The bombings of Hiroshima and Nagasaki demonstrated the unprecedented destructive power of nuclear weapons. However, even in an all-out nuclear war between the United States and Russia, despite horrific casualties, neither country’s population is likely to be completely destroyed by the direct effects of the blast, fire, and radiation.8 The aftermath could be much worse: the burning of flammable materials could send massive amounts of smoke into the atmosphere, which would absorb sunlight and cause sustained global cooling, severe ozone loss, and agricultural disruption – a nuclear winter. According to one model 9, an all-out exchange of 4,000 weapons10 could lead to a drop in global temperatures of around 8°C, making it impossible to grow food for 4 to 5 years. This could leave some survivors in parts of Australia and New Zealand, but they would be in a very precarious situation and the threat of extinction from other sources would be great. An exchange on this scale is only possible between the US and Russia who have more than 90% of the world’s nuclear weapons, with stockpiles of around 4,500 warheads each, although many are not operationally deployed.11 Some models suggest that even a small regional nuclear war involving 100 nuclear weapons would produce a nuclear winter serious enough to put two billion people at risk of starvation,12 though this estimate might be pessimistic.13 Wars on this scale are unlikely to lead to outright human extinction, but this does suggest that conflicts which are around an order of magnitude larger may be likely to threaten civilisation. It should be emphasised that there is very large uncertainty about the effects of a large nuclear war on global climate. This remains an area where increased academic research work, including more detailed climate modelling and a better understanding of how survivors might be able to cope and adapt, would have high returns. It is very difficult to precisely estimate the probability of existential risk from nuclear war over the next century, and existing attempts leave very large confidence intervals. According to many experts, the most likely nuclear war at present is between India and Pakistan.14 However, given the relatively modest size of their arsenals, the risk of human extinction is plausibly greater from a conflict between the United States and Russia. Tensions between these countries have increased in recent years and it seems unreasonable to rule out the possibility of them rising further in the future.

### Solvency

#### Plan Text: Space faring nations should establish a multilateral Space Resource Fund that restricts private asteroid mining.

#### Creating a legal regime so everyone benefits from mining creates sustainable mining while avoiding conflict.

Morgan Saletta 16, PhD, History and Philosophy of Science, The University of Melbourne, “All of humanity should share in the space mining boom,” Conversation, 4-17-2016, https://theconversation.com/all-of-humanity-should-share-in-the-space-mining-boom-57740

One solitary asteroid might be worth trillions of dollars in platinum and other metals. Exploiting these resources could lead to a global boom in wealth, which could raise living standards worldwide and potentially benefit all of humanity. There are already companies, such as Planetary Resources, hoping to make mining in space a reality. Peter Diamondis, co-founder of Planetary Resources and founder of the XPrize Grand Challenges, believes that the benefits to humanity give us a moral imperative to explore and utilise space. He has also declared “there are twenty-trillion-dollar checks up there, waiting to be cashed!” However, behind the utopian rhetoric and dazzling dreams of riches lie some very real problems. Ownership and the Outer Space Treaty The framework of international space law is given by the Outer Space Treaty (OST), which entered into force in 1967. Among its main principals, the OST includes these statements: the exploration and use of outer space shall be carried out for the benefit and in the interests of all countries and shall be the province of all mankind and, outer space is not subject to national appropriation by claim of sovereignty, by means of use or occupation, or by any other means Because the OST is generally interpreted as preventing anything like private fee-simple ownership, it is sometimes claimed to be an obstacle to commercial ventures in space. But such claims simply do not hold water. There are numerous terrestrial examples where resources are profitably exploited in the absence of fee-simple ownership. Governments routinely licence companies to engage in timber extraction, mining, offshore oil exploration and other activities, receiving royalties payments on production. In the United States, revenues from such royalties totalled some US$13.5 billion dollars in 2014 from federally owned or managed lands alone. Nevertheless, some proponents of mining in outer space argue for serious modification or an end to the Outer Space Treaty and claim, against the evidence, that without fee-simple ownership, there is no incentive for commercial exploitation. The Unites States’ Space Act of 2015 was just one volley – and a deliberately vague one at that – in this ongoing international debate. A balanced approach? The riches exist, but how will humanity benefit from mining in outer space, or for that matter, other global commons such as the deep sea floor? Behind the lofty rhetoric of benefits to humanity, there is a dark shadow of voodoo economics, the shambling, walking dead figure of trickle down economics– and the possibility of a world where a few trillionaires enjoy the view from space while others barely eke a living on its surface. Yet we do suggest that commercial interests and profit seeking can be a healthy part of the exploration of outer space. Yet outer space is not the Wild West frontier of Frederick Jackson Turner, nor do we live in the Gold Rush days of Jack London’s tale of greed and death. In the common heritage of space, with multiple state and private actors engaging in exploration and potentially exploitation, international cooperation and oversight will benefit all. The Alaskan model There is a balanced, pragmatic approach that will promote commercial and profit driven activities, while also producing tangible benefits to all of humanity. Importantly, this pragmatic approach has a well established precedent that has existed for nearly 40 years. And this comes not from a social democracy or left-wing ideology, but was the brainchild of a libertarian, Republican governor of Alaska, Jay Hammond. That model is the Alaska Permanent Fund Corporation (APFC) created in 1976, and its unique “citizen’s dividend”. The APF is a resource wealth fund, which derives its revenue primarily from leases on oil fields. In 1977, Hammond suggested that “rather than permitting government to spend all public monies earned through the exploitation of the public’s resources for what government thinks best, let’s grant shares to Alaskans.” The first dividend payment was made in 1982, and in 2015 that payment amounted to US$2,072. Linking a citizen’s dividend to a sovereign wealth fund was unique, but the idea of a citizen’s dividend has a long and venerable tradition. One of the earliest advocates was no less than the political theorist and American Revolutionary, Thomas Paine. International body How would this work for outer space? We need an international body similar to the International Seabed Authority, which was established by the United Nations Convention on the Law of the Sea, or the International Telecommunications Union, which allocates satellite orbits. This would provide the stable business and investment environment that entrepreneurs seek by ensuring international law and obligations are met. This body could license outer space resources and levy a royalty on production, which is part of standard business practice between petroleum and other mining companies and governments here on Earth. In turn, these revenues, or a significant portion thereof, would be deposited in a Space Resource Fund, possibly under the aegis of the World Bank. And every single citizen on Earth, say aged 18 or above, would receive a dividend on a yearly basis as their rightful share as owners of the common province of humankind. Crucially, we are not suggesting redistribution, which has been an obstacle to the International Seabed Authority and the Moon Treaty in the past, but a fair share dividend of wealth that truly belongs to everyone. Our model doesn’t provide a handout, or a welfare cheque, or charity from a trillionaire philanthopist; it pays every owner in a global commons a share of what is rightfully theirs. Even tiny dividends by the standards of the world’s wealthy nations would make a difference for some developing world farmers. If there truly are trillions of dollars out there, then this might be something fundamentally world changing. We accept that Larry Page and Sir Richard Branson – founding investors and advisors in Planetary Resources – and its founders Eric Anderson and Peter Diamandis, truly want humanity to benefit from outer space, and that they truly believe in corporate social responsibility and a sustainable future. We would encourage them to embrace the idea that the sky really does belong to all of us, as the common “province of all mankind”. By paying rent for the right to exploit resources in space and royalties on production, the same way oil companies pay to exploit oil in the Gulf of Mexico, they’ll be engaging in business as usual. They will have bought the right to make a potentially enormous profit and prove they really are responsible global citizens. And they’d get a citizen’s dividend cheque too.

#### Corporate mining is public backed – private sector overlooks issues while hindering the enforcement of space treaties – endorsement of Space Act proves

**Loder 18** [(Reed Elizabeth, Reed Elizabeth Loder is a professor of law at Vermont Law School and has taught Environmental Ethics, Legal Profession, Moral Philosophy for Professionals, and Property Law. She also has taught Ethics in the Professions at Dartmouth College.), “Asteroid Mining: ecological jurisprudence beyond Earth”, Virginia Environmental Law Journal, vol. 36, no. 3, 2018, pp. 275–317] kzheng

C. Corporate Incentives and Public Subsidies The companies interested in asteroid mining argue that restrictive measures would dampen their incentive to innovate and to take on the risks and expense of space prospecting and extraction. They urge that the Space Act is needed to support such purposes.100 Yet, these companies fail to acknowledge that “private” innovation is fundamentally dependent on more than fifty years of public investments in space programs around the world.101 Governments should not give corporations unfettered property rights as rewards for their creative energy based on an individualistic model because public commitments, priorities, and sacrifices of other public goods have paved the way for that innovation. In short, the Space Act has not only bypassed the treaty obligations of the United States by creating rights of private property in space resources, but it has done so through a simplistic, exclusive, unlimited model of ownership that overlooks enormous public interests and contributions.

### Framing

#### The standard is minimizing suffering.

#### 1. Government policy is constrained by limitations on resources. Any government decision must account for tradeoffs, which only utilitarian ethics can quantify.

#### 2. Extinction comes first!

Pummer 15 [Theron, Junior Research Fellow in Philosophy at St. Anne's College, University of Oxford. “Moral Agreement on Saving the World” Practical Ethics, University of Oxford. May 18, 2015] AT

There appears to be lot of disagreement in moral philosophy. Whether these many apparent disagreements are deep and irresolvable, I believe there is at least one thing it is reasonable to agree on right now, whatever general moral view we adopt: that it is very important to reduce the risk that all intelligent beings on this planet are eliminated by an enormous catastrophe, such as a nuclear war. How we might in fact try to reduce such existential risks is discussed elsewhere. My claim here is only that we – whether we’re consequentialists, deontologists, or virtue ethicists – should all agree that we should try to save the world. According to consequentialism, we should maximize the good, where this is taken to be the goodness, from an impartial perspective, of outcomes. Clearly one thing that makes an outcome good is that the people in it are doing well. There is little disagreement here. If the happiness or well-being of possible future people is just as important as that of people who already exist, and if they would have good lives, it is not hard to see how reducing existential risk is easily the most important thing in the whole world. This is for the familiar reason that there are so many people who could exist in the future – there are trillions upon trillions… upon trillions. There are so many possible future people that reducing existential risk is arguably the most important thing in the world, even if the well-being of these possible people were given only 0.001% as much weight as that of existing people. Even on a wholly person-affecting view – according to which there’s nothing (apart from effects on existing people) to be said in favor of creating happy people – the case for reducing existential risk is very strong. As noted in this seminal paper, this case is strengthened by the fact that there’s a good chance that many existing people will, with the aid of life-extension technology, live very long and very high quality lives. You might think what I have just argued applies to consequentialists only. There is a tendency to assume that, if an argument appeals to consequentialist considerations (the goodness of outcomes), it is irrelevant to non-consequentialists. But that is a huge mistake. Non-consequentialism is the view that there’s more that determines rightness than the goodness of consequences or outcomes; it is not the view that the latter don’t matter. Even John Rawls wrote, “All ethical doctrines worth our attention take consequences into account in judging rightness. One which did not would simply be irrational, crazy.” Minimally plausible versions of deontology and virtue ethics must be concerned in part with promoting the good, from an impartial point of view. They’d thus imply very strong reasons to reduce existential risk, at least when this doesn’t significantly involve doing harm to others or damaging one’s character. What’s even more surprising, perhaps, is that even if our own good (or that of those near and dear to us) has much greater weight than goodness from the impartial “point of view of the universe,” indeed even if the latter is entirely morally irrelevant, we may nonetheless have very strong reasons to reduce existential risk. Even egoism, the view that each agent should maximize her own good, might imply strong reasons to reduce existential risk. It will depend, among other things, on what one’s own good consists in. If well-being consisted in pleasure only, it is somewhat harder to argue that egoism would imply strong reasons to reduce existential risk – perhaps we could argue that one would maximize her expected hedonic well-being by funding life extension technology or by having herself cryogenically frozen at the time of her bodily death as well as giving money to reduce existential risk (so that there is a world for her to live in!). I am not sure, however, how strong the reasons to do this would be. But views which imply that, if I don’t care about other people, I have no or very little reason to help them are not even minimally plausible views (in addition to hedonistic egoism, I here have in mind views that imply that one has no reason to perform an act unless one actually desires to do that act). To be minimally plausible, egoism will need to be paired with a more sophisticated account of well-being. To see this, it is enough to consider, as Plato did, the possibility of a ring of invisibility – suppose that, while wearing it, Ayn could derive some pleasure by helping the poor, but instead could derive just a bit more by severely harming them. Hedonistic egoism would absurdly imply she should do the latter. To avoid this implication, egoists would need to build something like the meaningfulness of a life into well-being, in some robust way, where this would to a significant extent be a function of other-regarding concerns (see chapter 12 of this classic intro to ethics). But once these elements are included, we can (roughly, as above) argue that this sort of egoism will imply strong reasons to reduce existential risk. Add to all of this Samuel Scheffler’s recent intriguing arguments (quick podcast version available here) that most of what makes our lives go well would be undermined if there were no future generations of intelligent persons. On his view, my life would contain vastly less well-being if (say) a year after my death the world came to an end. So obviously if Scheffler were right I’d have very strong reason to reduce existential risk. We should also take into account moral uncertainty. What is it reasonable for one to do, when one is uncertain not (only) about the empirical facts, but also about the moral facts? I’ve just argued that there’s agreement among minimally plausible ethical views that we have strong reason to reduce existential risk – not only consequentialists, but also deontologists, virtue ethicists, and sophisticated egoists should agree. But even those (hedonistic egoists) who disagree should have a significant level of confidence that they are mistaken, and that one of the above views is correct. Even if they were 90% sure that their view is the correct one (and 10% sure that one of these other ones is correct), they would have pretty strong reason, from the standpoint of moral uncertainty, to reduce existential risk. Perhaps most disturbingly still, even if we are only 1% sure that the well-being of possible future people matters, it is at least arguable that, from the standpoint of moral uncertainty, reducing existential risk is the most important thing in the world. Again, this is largely for the reason that there are so many people who could exist in the future – there are trillions upon trillions… upon trillions. (For more on this and other related issues, see this excellent dissertation). Of course, it is uncertain whether these untold trillions would, in general, have good lives. It’s possible they’ll be miserable. It is enough for my claim that there is moral agreement in the relevant sense if, at least given certain empirical claims about what future lives would most likely be like, all minimally plausible moral views would converge on the conclusion that we should try to save the world. While there are some non-crazy views that place significantly greater moral weight on avoiding suffering than on promoting happiness, for reasons others have offered (and for independent reasons I won’t get into here unless requested to), they nonetheless seem to be fairly implausible views. And even if things did not go well for our ancestors, I am optimistic that they will overall go fantastically well for our descendants, if we allow them to. I suspect that most of us alive today – at least those of us not suffering from extreme illness or poverty – have lives that are well worth living, and that things will continue to improve. Derek Parfit, whose work has emphasized future generations as well as agreement in ethics, described our situation clearly and accurately: “We live during the hinge of history. Given the scientific and technological discoveries of the last two centuries, the world has never changed as fast. We shall soon have even greater powers to transform, not only our surroundings, but ourselves and our successors. If we act wisely in the next few centuries, humanity will survive its most dangerous and decisive period. Our descendants could, if necessary, go elsewhere, spreading through this galaxy…. Our descendants might, I believe, make the further future very good. But that good future may also depend in part on us. If our selfish recklessness ends human history, we would be acting very wrongly.” (From chapter 36 of On What Matters)

#### 3. Making impactful contributions demands causal policy relevance AND methodological pluralism -- that is the only way to draw accurate contextual conclusions and prevent violent, imprecise reification.

Michael C. Desch 19. Packey J. Dee Professor of International Relations at Notre Dame and founding director of the Notre Dame International Security Center, former Professor and Director of the Patterson School of Diplomacy and International Commerce at the University of Kentucky, #gocats. 2019. “Conclusions, Responses to Objections, and Scholarly Recommendations.” Cult of the Irrelevant: The Waning Influence of Social Science on National Security, Princeton University Press.

I want to reiterate that I am not arguing that scholarship that is formal or quantitative is by definition irrelevant. Indeed, one can point to examples of both that are. When applied to economic issues, the discipline of economics has managed to be both highly “scientific” and, at times, quite relevant, though for both good and ill. Likewise, there are examples of highly quantitative political science that policymakers have found useful.1 Finally, there is much nonquantitative scholarship, particularly but not exclusively in the humanities that, is jargon laden and otherwise inaccessible to a wider audience, including government policymakers.2 This is by no means an anti-social science methods screed, just a reminder of the tensions between rigor and relevance that need to managed rather than assumed away. Nor is this in any way a brief against theory. Former State Department official Roger Hilsman reminded us that everyone, including policymakers, uses theory. Paraphrasing John Maynard Keynes, he concluded that “it seems obvious that all thinking involves notions of how and why things happen. Even the ‘practical’ man who despises theory has a number of assumptions and expectations which lead him to believe that when certain things are done, certain results follow.. . .It is this ‘theory’ that helps a problem solver select from the mass of facts surrounding him those which he hopes are relevant.”3 Given that, I fully associate myself with Hans Morgenthau’s balanced view that “theory without verification is metaphysics, but empiricism without theory is aimless.”4 Since policymakers implicitly use theory in analyzing situations and assessing their alternatives, such theories should be stated explicitly and analyzed systematically, which is a comparative advantage of the scholars. Instead, what I offer is simply a critique of the increasing tendency of many social scientists to embrace methods and models for their own sake rather than because they can help us answer substantively important questions. This inclination is in part the result of the otherwise normal and productive workings of science, but is also reinforced by less positive factors such as organizational self-interest and intellectual culture. As a result of the latter, many political scientists have committed themselves to particular social science methods not so much because they believe they will illuminate real-world policy problems but because they serve a vested interest in disciplinary autonomy and dovetail with a particular image (mathematized and model-based) of what a “science” of politics should look like. In other words, the professionalization of social science is the root of the enduring relevance question. This tendency to equate rigor with technique imposes costs on the rest of society as well as the discipline, especially when it excludes a more balanced approach to rigor and relevance of the sort that characterized the subfield of security studies in the past. On the former, as diplomat George Kennan rightly observed, policymakers need academic expertise because they have to make decisions about issues and areas of the world “about which they cannot be expert and learned.”5 They depend on the academy for the raw data—whether quantitative or historical—that they use in decision making. They also rely on the social sciences for the theories they use to analyze and make sense of this data. The problem with relying exclusively on in-house government research to make up for the lack of policy-relevant academic research is that it is often of low quality. The role of the “independent policy analyst” is essential for three reasons: 6 He or she can challenge basic policy assumptions. As RAND’s Hans Spier put it, they can undertake “research which does not necessarily take the mission of the military for granted and admits the possibility U.S. may be wrong”7 And academic social scientists are particularly well suited to this role by virtue of the fact that they both conduct research and also teach future policymakers. Academics have some other advantages over policymakers. They have the time to develop greater depth of knowledge on issues and regions than most policymakers can. The institution of tenure also gives them, at least in theory, the freedom to explore controversial issues and take unpopular stands. And while peer review can homogenize and narrow scholarship, it also plays an indisputably positive role in advancing it. Finally, university-based scholars have less of a vested interest in certain policies and programs than do policymakers, though of course that is not to deny that they have their own institutional interests and biases.9 I am not suggesting, of course, that scholars would make better policy than bureaucrats and elected officials. They lack inside knowledge, have little actual power, and are often politically out of step with the rest of American society.10 They also come to policy issues with a markedly different intellectual orientation than policymakers.11 Rather, my point is simply that our democratic political system depends on the successful functioning of the marketplace of ideas and checks and balances in which individuals and groups with various strengths and weaknesses and offsetting biases participate in the larger policy debate, thereby compensating for each other’s limitations.12 We run into trouble when we lack one of these perspectives in policy debates. Indeed, there are instances—the war in Vietnam and the recent Iraq War—in which had the majority consensus of scholars in academia influenced policy, the country’s national interest would have been better served. As the flawed Iraq War debate demonstrates, our nation’s marketplace of ideas is bankrupt, particularly in national security affairs.13 Of course, our political problems run much deeper than just the Beltway/Ivory Tower gap, but closing it would represent an important step in the country’s intellectual recapitalization. This nation’s universities need to reclaim their place as one of society’s main sources of independent ideas about the problems that it faces.14 Less widely recognized, and perhaps more controversial given the prevailing sentiments in the Academy for a sharp distinction between “science” and “policy,” is my contention that the growing gap is ultimately bad for the generation of new knowledge. There are at least two reasons why greater attention to policy relevance produces better scholarship. First, it leads to more realistic theorizing. As John Kenneth Galbraith warned his economics colleagues nearly forty years ago, “No arrangement for the perpetuation of thought is secure if that thought does not make contact with the problems that it is presumed to solve.”15 Second, a focus on manipulatable variables makes it more likely that they are testable because the analyst can ensure variation on them. Also, the hyperspecialization of knowledge today makes it difficult for even scholars in related disciplines to understand each other, much less the general public. Such intellectual fragmentation makes the application of scholarly knowledge to policymaking extremely difficult. Therefore, a deeper and more regular engagement between the Ivory Tower and the Beltway will be mutually beneficial for both sides.16 Ultimately, even the most sophisticated social science will be judged by what it tells us about things that affect the lives of large numbers of people and which policymakers therefore seek to influence and control.17 The recurrent congressional debates about National Science Foundation funding for political science highlight the direct costs to the discipline of not being able to justify itself in terms of broader impact on the rest of society. Harkening back to the debate about the Mansfield Amendment, an article in Science cautioned that “to the extent that the research community disdains work on major national missions or behaves self-servingly in mission-oriented work, anti-intellectualism will increase its influence on the fate of American science.”18 Also, public and philanthropic community support for investment in academia generally reflects the belief that it will produce work that will speak to problems of broader importance. When the academy fails on that score, it can undermine that support.19 Political science’s subfield of international security studies can plausibly claim to save large amounts of money and even lives and so its increasing marginalization is a self-inflicted wound on the discipline. Response to Objections There are at least eight reasonable, though ultimately unpersuasive, objections to my argument that we should consider. First, some point to the influence of the Democratic Peace Theory (DPT) on the Clinton, George W. Bush, and Obama administrations as evidence that one of the most scientific of social science theories in international relations was both useful and influential among policymakers.20 The argument that democracies are unlikely to go to war with each other gained currency among social scientists based on statistical analysis of every major interstate war since 1815. In the words of Rutgers political scientist Jack Levy, the Democratic Peace Theory is “as close as anything we have to an empirical law in international relations.”21 Two scholars argued that the theory became relevant outside of the academy precisely “because of the law-like status of a particular empirical finding.”22 Others hold it up as a model of how basic research in political science can contribute to policymakers.23 It is not clear, though, that the influence of the DPT on recent U.S. foreign policy was due to its unassailable social scientific standing. While former Defense Department official and Ohio State political scientist Joseph Kruzel conceded that DPT “had substantial impact on public policy,” he attributed its attractiveness to policymakers to its simplicity rather than its social scientific rigor.24 It clearly identifies America’s enemies (nondemocratic states) and prescribed a simple response to them (make them democratic). It is also likely that the much less methodologically sophisticated articulation of the theory in the work of Michael Doyle was far more influential.25 And the process by which DPT entered the Clinton White House did not involve sophisticated social science. Rather, the key administration proponent of the democratic peace was National Security Advisor (and former college professor) Anthony Lake.26 It is clear, however, that to the extent that Lake was drawing support for the democratic peace from academic sources, it was not from statistically based research, but rather from the qualitative work of scholars like Harvard’s Samuel Huntington.27 The results of a survey of senior national security policymakers found that more than half of those familiar with the methodologically sophisticated democratic peace theory reported not being influenced by it in their government work.28 Finally, one could argue that U.S. policymakers have embraced the democratic peace because of its compatibility with our political culture rather than its scientific standing.29 A second, and in some ways, flip side of the first critique, is that the relevance problem with contemporary security studies is the result of the subfield’s domination by realism, and particularly its most abstruse and theoretical manifestation, neorealism.30 Critics point particularly to neorealist arguments that tout the virtues of nuclear proliferation as examples of theoretically elegant but politically unacceptable social science.31 Despite its respectability among scholars, neorealist proliferation optimism has reportedly had little influence on actual policy.32 While that particular policy issue may not have been influenced by realist thinking, as this book has shown realists have remained committed to policy relevance at times when the rest of the discipline has eschewed it. And they have more often been on the right side of policy debates as well.33 A third potential challenge to my argument is that many social scientists believe that they should avoid offering policy recommendations in favoring of focusing on basic research tasks such as identifying empirical regularities and offering generalizations to explain them.34 As Dartmouth political scientist Kalman Silvert warned, “It is not the legitimate role of the social scientist as scholar to advocate specific courses of governmental action or to act as implementer of government decisions.”35 Another rationale is that doing so is unnecessary given that the applied implications of basic research tend to trickle down by themselves.36 Policy engagement—particularly offering explicit policy recommendations—is both unwise and unnecessary in the view of many social scientists. Neither of these views, however, are shared by policymakers. Most believe that in addition to providing basic research findings, “scientists must explicitly define the linkage, whether immediate or remote, of the knowledge acquired or being acquired, to specific operational problems and continually assess the import of such knowledge to solution of the problems.”37 Nor are current and former policymakers sanguine about the trickle-down (or bubble-up in which senior policymakers get the results of scholarly work through their methodologically savvy staffs) process. As John K. Plank of the Brookings Institution, a former DoD official, recollected, “There is presumably a process whereby the research product is filtered up to [senior policymakers], but in point of fact very little of operational usefulness is transmitted.”38 Fourth, some political scientists believe that there are now so many new outlets for scholars to engage in the policy debate, it is both easier for them to do so and also unnecessary for them to concern themselves with doing so in their scholarship.39 Academics can now publish basic research in scholarly venues and then disseminate its applied implications through the new media. George Washington political scientist and blogger Marc Lynch effused that with the rise of the new media “this is in most ways a golden age for policy-relevant public spheres.”40 Indeed, many see the proliferation of new media outlets as the answer to political science’s perennial problem: its diminished public profile.41 The assumption here is that political scientists are simply not communicating their results effectively. There are three problems with these arguments: Until recently, we had no idea whether blogs and other new media reached policymakers. As one optimist conceded, we have “no solid statistics” on our impact.42 But we do now and it suggests that blogs and other new media are in fact not an important source of information for policymakers and therefore are unlikely to effectively convey the implications of basic research to policymakers, the media, or the general public.43 Moreover, even if a few blogs get some attention, many others do not, simply making more noise in an already cacophonous marketplace of ideas.44 And suggesting that the failure of communication argument misses the mark, Social Science Research Council president Craig Calhoun noted that scholarly “engagement with public constituencies must move beyond a dissemination model” that assumes that “pure research” will naturally triclde down, even with better communication.45 In other words, it is not the medium that matters as much as the message. And the message must be made more intelligible and useful to policymakers and the general public. Finally, there is systematic evidence that academic bloggers and scholars who utilize other new media venues receive little professional credit for them in the critical areas of promotion and tenure.46 In short, despite the explosive growth of new media outlets, professional incentives still do not encourage scholars to use them. A fifth conceivable objection is that advanced social science techniques and basic research will eventually become more useful to policymakers as they (or at least their staffs) become more sophisticated in their understanding of them. One optimist, for example, noted that most graduate public policy schools now include one or two required courses in economics and social science methods in their curricula. As these increasingly methodologically savvy young bureaucrats become senior policymakers, so this argument goes, they will be more adept at using them and more appreciative of their policy relevance.47 However, this argument assumes that training in advanced research techniques is a recent development. Policy schools, however, have long had methods courses as part of their required curriculum. Even prior to this, many national security policymakers came out of academic Ph.D. programs in which they were exposed to the latest innovations in social science methodology. It also ignores that the security studies subfield played a leading role in developing many of these sophisticated social science techniques, particularly at RAND in the 1950s.48 An example of the reverse flow of ideas from the policy world to the Academy was the “unquestionably” leading role that RAND mathematicians and other social scientists played in the development of game theory, a mathematical framework for strategizing under uncertainty.49 Despite early enthusiasm, many at RAND concluded that game theory had an Achilles Heel in its application to national security policy: how to assign the numerical values that were to be plugged into its formulas. That was not a trivial limitation, which led Hitch to confess that “for our purposes, Game Theory has been quite disappointing.”50 It also assumes that today’s aspiring policymakers come away from these methods courses with an unqualified appreciation of their usefulness. My experience after ten years in teaching in such schools, and familiarity with the evaluations students give these courses, leaves me skeptical. They often do not see the usefulness of such courses and suspect they are being forced to take them for academic, not professional, reasons.51 Other colleagues at professional schools share this impression.52 Finally, an earlier survey of current and former national security policymakers reveals that the more highly educated the policymaker, the greater the skepticism about their utility.53 This is consistent with the argument that familiarity with advanced techniques instills greater appreciation not only for their promise but also their limits. Even proponents of modern social science methods in international relations concede that “the emerging science of international relations has a long way to go before it can be of direct use to policy makers.”54 It is hard to find much evidence that the most sophisticated approaches to international relations are of much direct use to policymakers, and there are ample reasons for caution about how much of the discipline’s “basic” research is really trickling down to indirectly influence policymakers. Sixth, some point to the post-9 /11 resurgence of interest among younger social scientists as a harbinger of another renaissance of interest in policy relevance. Others suggest that changes in the nature of the “new paradigm of knowledge production,” which is “socially distributed, application-oriented, trans-disciplinary, and subject to multiple accountabilities” constitute grounds for optimism about a broader return to relevance among the social sciences.55 To be sure, there are reasons for optimism on this score but also for continuing caution. As we have seen, previous periods of optimism about answering the relevance question have given way to disappointment. Moreover, many scholars have claimed to be policy relevant even though policymakers did not find them so.56 As one CIA analyst warned, “Social scientists commonly define policy-relevant research far more broadly than the foreign policy community does.”57 A seventh potential criticism of my argument is there are other forms of “relevance” beyond just influencing government policymakers by offering policy recommendations to which scholars should aspire.58 Especially in a democratic political system, a scholar’s vocation for politics can also involve educating students and informing the wider public about pressing issues of policy. Moreover, an engaged scholar could serve with nongovernmental and private organizations rather than just through government service. While there is no doubt that policy influence is broader than just affecting government policy, that is ultimately the goal of the enterprise, either directly through policymakers or indirectly through the media or the public. Moreover, it is the clearest and most demanding standard of relevance available. So if we want to understand when and how social science matters to policymakers that is the most important, if not the only, aspect of it to consider.59 Finally, many political scientists share Daniel Drezner’s view that economics has solved the relevance question in being both rigorous and relevant. 60 The logical implication of such a belief is that the rest of social sciences should follow that discipline’s lead in terms of its approach and methodology. This economics envy is based on a misapprehension that academic trends in economics have not also created a relevance problem. For example, a recent review of research at the World Bank by leading academic economists raised questions about how much of the scholarship of bank analysts that was written for publication in academic journals was of any use to the bank.61 Their answer was not much. They blamed intellectual trends in the discipline because it encouraged research that was “too academic, too focused toward the previously existing academic agenda, and too directed towards technical rather than pressing policy issues.”62 Behind this economics envy lies an even deeper inferiority complex visa- vis the natural sciences. Many social scientists believe that the physical sciences have two advantages over the “softer” social sciences: more reliable data and a consensus on how to analyze it. Quantifiable data, in this view, is more persuasive, because it is clearer and less subject to dispute.63 This view of the superiority of the physical over the social sciences is widespread, with many of the former reveling in their preeminence and some of the latter manifesting two classic symptoms of an inferiority complex: resentment or reflexive emulation. Neither of these responses is healthy. It is simply not true that expressing propositions mathematically ensures that they are clearer and more transparent than conveying them in English. Economist Paul Romer admitted that “with enough math, an author can be confident that most readers will never figure out where FWUTV [facts with unknown truth values] is buried. A discussant or referee cannot say that an identification assumption is not credible if they cannot figure out what it is and are too embarrassed to ask.”64 On the latter, one would think that the 2008 Great Recession, in which the misguided belief that quantitative models of the economy could be used to guide investment decisions on the grounds they could reveal “the truth” about what drives the market, would temper confidence that such scientific approaches could ensure effective policy.65 In a much discussed essay in the New York Times Magazine, Princeton economist Paul Krugman concluded that “the economics profession went astray because economists, as a group, mistook beauty, clad in impressive-looking mathematics, for truth.. . . The central cause of the profession’s failure was the desire for an all-encompassing, intellectually elegant approach that also gave economists a chance to show off their mathematical prowess.”66 It is not even clear that natural scientists have been most influential when they have employed their most rigorous and mathematically sophisticated approaches, at least in the national security realm. Indeed, there is more evidence that they have been most influential when they have offered practical solutions to real-world problems. These solutions have often come from scientifically uncertain and incomplete data.67 These are the hallmarks of much of the best of qualitative social science. Social scientists also ought to take heart that they not only can make an important contribution using their own distinct approaches, but also that in some instances they might even be superior to those of the physical scientists. For example, many of the nuclear scientists involved in the Manhattan Project soon came to regret their role in the escalating nuclear arms race of the Cold War. Reflecting a collective sense of guilt, chemist and peace activist Linus Pauling got almost nine thousand scientists to sign a January 1958 petition to end nuclear testing as first step toward universal disarmament.68 Talcing an equally impractical tack, Hungarian physicist Leo Szilard wrote to Franldin Delano Roosevelt’s science adviser Vannevar Bush in January 1944, “This weapon is so powerful that there can be no peace if it is simultaneously in the possession of any two powers unless these two powers are bound by an indissoluble political union.”69 While not all of the atomic scientists harbored doubts—recall the famous debates between Robert Oppenheimer and Edward Teller—the majority became advocates of international control of nuclear weapons, a policy that in retrospect was politically unrealistic. In comparing the assessments and policy recommendations of the physical scientists in the Golden Age, with those of social scientists like Jacob Viner, Bernard Brodie, and William T. R Fox, it is hard to avoid the conclusion that the latter’s views of the nuclear problem (that the genie of nuclear weapons could not be stuffed back in the bottle), and their recommendations for dealing with that situation (nuclear deterrence), were far more “realistic” than those of the nuclear “one world” physical scientists. What Is to Be Done? There are, of course, some nuts-and-bolts issues that scholars should be mindful of if they want to participate in the broader policy debate. Since policymakers have short attention spans given the number and breadth of issues they have to deal with, scholarly efforts to engage them need to be brief in conveying their ideas.70 This explains why Op/Eds are particularly influential and why so many are optimistic that blogs could play a similar role. Moreover, policymakers find much current scholarly work—from across the methodological spectrum—inaccessible. The common sentiment animating their views is that scholars should cut the jargon. Policymakers don’t want scholars to write in Greek or French, but rather just plain English.71 There are also some much bigger issues undergirding the relevance question.72 To begin with, political science needs to rethink how it balances scholarly rigor with practical application. There is a middle ground between policy analysis and journalism, on one side, and scholastic irrelevance on the other.73 The best approach to balancing scholarly rigor with continuing policy relevance is methodological pluralism, which includes a commitment to using not any particular method (or all of them) but rather just the approach most appropriate for the question at hand. But methodological pluralism, by itself, is not sufficient. The latest trend in political science requiring the simultaneous use of multiple methods could, ironically, prove to be even more limiting of policy relevance. Indeed, given the need to employ all of these methods simultaneously, it is potentially even more constraining in terms of the problems it can address because it has to be limited to those which can be quantified, modeled, and studied in depth at the same time.74 Therefore, reinforcing methodological pluralism must also be a commitment to problem-, rather than method-, driven research agendas. It is only the combination of these two principles that will ensure that policy-relevant security studies can not only survive, but thrive, in political science.75 Scholars also need to think carefully about the role of theory in policyrelevant security studies scholarship. While there is no doubt that theory is important to policymakers, scholars need to be aware that as with many other things, too much of it can be a bad thing. In particular, the effort to cram the rich complexity of the social world into universal models can do intellectual violence to the phenomenon under study as well as produce suboptimal policy. Paul Nitze, then the director of the Secretary of State’s Policy Planning Staff, readily conceded policymakers’ need for theory but also noted that “there is the opposing consideration .. . that [theoretical] oversimplification presents great dangers.”76 Albert Wohlstetter advocated a balanced approach to theory, noting that the key to his success throughout his career “was the practical experience I had in working with engineers. I worked with them from two sides, so to speak, as someone who had been concerned with very abstract theory more basic than that familiar to design engineers, but on the other hand, I was also concerned with production, and therefore generally trying to get them to do things more practical than they wanted to do.”77 Theory is a powerful tool of statecraft, but when scholars embrace universal models they also risk irrelevance or worse. Likewise, the transmission belts conveying scholarly findings to the policy world must be repaired. Kennan envisioned the State Department’s Policy Planning Staff in the late 1940s serving this function, and in some respects it continues to do so to this day.78 However, there are limits to how effectively a part of the bureaucracy can serve as an honest research broker. A plethora of think tanks in Washington are also supposed to translate knowledge into action, though the trend in recent years has been toward the establishment of overtly political and advocacy organizations, rather than nonpartisan, translational research centers.79 Reinventing the role of think tanks as bridges between the Ivory Tower and the beltway is long overdue. While nonacademic transmission belts can mediate between the Ivory Tower and the Beltway, they are no substitute for the scholars who produce knowledge to themselves serve as their own translators of it into policy. To be sure, scholars should not stop writing scholarly books and monographs utilizing the most sophisticated techniques of their discipline, if appropriate. In addition to doing these things, scholars should address pressing real world problems, not just chase after disciplinary fads. No one is in a better position to highlight the policy implications of a given piece of research than the individual who conducted it. Academic social scientists, if they want to be heard by senior policymakers, and heard correctly, need to be their own policy “transmission belts.”80 The role of the Democratic Peace Theory in the recent Iraq war demonstrates the problems with scholars not specifying the concrete policy implications of their research.81 Drawing on DPT, some officials in the George W. Bush administration justified the invasion of Iraq as part of a larger strategy to bring peace to the region by spreading democracy.82 Democratic Peace proponent Bruce Russett objected to this conclusion after the fact though his voice had been largely mute in the run up to the war.83 Had he and other democracy scholars participated more actively in the prewar debate, this rationale may have been less credible. Academics also need to develop a more nuanced appreciation of the various influences on policy. Many, even in democratic political systems, tend to have an unrealistically “technocratic” attitude toward policymaking. 84 They often underestimate the role of politics in government decision making. Scholars must therefore understand that the policymaking process is inherently political and that without such an appreciation of the political considerations associated with any policy choice, even a good one may not be implemented.85