#### Plan: The appropriation of outer space through asteroid mining by private entities should be banned.

#### We’ll defend normal means as the signatories of the OST adding an optional protocol under Article II.

Tronchetti 7[Fabio Tronchetti is a professor at the International Institute of Air and Space Law, Leiden University, The Netherlands, 2007, <https://iislweb.org/docs/Diederiks2007.pdf>, 12-15-2021 amrita]

ARTICLE II OF THE OUTER SPACE TREATY: A MATTER OF DEBATE The legal content of Article II of the Outer Space Treaty is one of the most debated and analysed topic in the field of space law. Indeed, several interpretations have been put forward to explain the meaning of its provisions. Article II states that: “Outer space, including the Moon and other celestial bodies, is not subject to national appropriation by claim of sovereignty, by means of use or occupation, or by any other means”. **The text of Article II represents** the final point of a process, formally initiated with Resolution 1721, aimed at conferring to outer space the status of res communis omnium, namely a thing open for the **free exploration** and use by all States **without the possibility of being appropriated**. By prohibiting the possibility of making territorial claims over outer space or any part thereof based on use or occupation, Article II **makes clear that** the customary procedures of **i**nternational **law allowing** subjects to obtain **sovereignty rights over un-owed lands**, namely discovery, occupatio and effective possession, **do not apply to** outer **space.** This prohibition was considered by the drafters of the Outer Space Treaty the best guarantee for preserving outer space for peaceful activities only and for stimulating the exploration and use of the space environment in the name of all mankind. What has been the object of controversy among legal scholars is the question of whether both States and private individuals are subjected to the provisions of Article II. Indeed, **while Article II forbids** expressis verbis the national **appropriation by** claims of **sovereignty**, by means of use and occupation or other means of outer space, **it does not** make **a**ny explicit **mention** **to** its **private** appropriation. Relying on this consideration, some authors have argued that the private appropriation of outer space and celestial bodies is allowed. For instance, in 1968 Gorove wrote: “Thus, at present an individual acting on his own behalf or on behalf of another individual or private association or an international organisation could lawfully appropriate any parts of outer space…”6 . The same argument is used today by the enterprises selling extraterrestrial acres. They base their claim to the Moon and other celestial bodies on the consideration that Article II does not explicitly forbid private individuals and enterprises to claim, exploit or appropriate the celestial bodies for profit7 . However, it must be said, that nowadays there is a general consensus on the fact that **both national appropriation and private** property rights **are denied** under the Outer Space Treaty. Several way of reasoning have been advanced to support this view. Sters and Tennen affirm that the argument that Article II does not apply to private entities since they are not expressly mentioned fails for the reason that they do not need to be explicitly listed in Article II to be fully subject to the non-appropriation principle8 . **Private entities are allowed to carry out** space **activities but**, according to Article VI of the Outer Space Treaty, they **must be authorized** to conduct such activities **by the** appropriate **State** of nationality. But if the State is prohibited from engaging in certain conduct, then it lacks the authority to license its nationals or other entities subject to its jurisdiction to engage in that prohibited activity. Jenks argues that “States bear international responsibility for national activities in space; it follows that what is forbidden to a State is not permitted to a chartered company created by a State or to one of its nationals acting as a private adventurer”9 . It has been also suggested that **the prohibition of national** appropriation **implies prohibition of private** appropriation because the latter cannot exist independently from the former10. In order to exist, indeed, private property requires a superior authority to enforce it, be in the form of a State or some other recognised entity. In outer space, however, this practice of State endorsement is forbidden. Should a State recognise or protect the territorial acquisitions of any of its subjects, this would constitute a form of national appropriation in violation of Article II. Moreover, it is possible to use some historical elements to support the argument that both the acquisition of State sovereignty and the creation of private property rights are forbidden by the words of Article II. During the negotiations of the Outer Space Treaty, the Delegate of Belgium affirmed that his delegation “had taken note of the interpretation of the non-appropriation advanced by several delegations-apparently without contradiction-as covering both the establishment of sovereignty and the creation of titles to property in private law”11. The French Delegate stated that: “…there was reason to be satisfied that three basic principles were affirmed, namely: the prohibition of any claim of sovereignty or property rights in space…”12. The fact that the accessions to the Outer Space Treaty were not accompanied by reservations or interpretations of the meaning of Article II, it is an evidence of the fact that this issue was considered to be settled during the negotiation phase. Thus, summing up, we may say that **prohibition of appropriation of outer space** and its parts is a rule which **is valid for both private and public entity**. The theory that private operators are not subject to this rule represents a myth that is not supported by any valid legal argument. Moreover, it can be also added that if any subject was allowed to appropriate parts of outer space, the basic aim of the drafters of the Treaty, namely to prevent a colonial competition in outer space and to create the conditions and premises for an exploration and use of outer space carried out for the benefit of all States, would be betrayed. Therefore, **the need to protect the non-appropriative nature o**f outer **space emerges** in all its relevance.

## 1AC—Advantages

### Inherency

#### Countries and their companies are making their own rules through patchwork which creates conflict—an international body is key

Foster 16 – Craig, J.D., University of Illinois College of Law, “EXCUSE ME, YOU’RE MINING MY ASTEROID: SPACE PROPERTY RIGHTS AND THE U.S. SPACE RESOURCE EXPLORATION AND UTILIZATION ACT OF 2015”, *JOURNAL OF LAW, TECHNOLOGY & POLICY*, No. 2, page 428-430, http://illinoisjltp.com/journal/wp-content/uploads/2016/11/Foster.pdf

There are many reasons to be excited about the prospect of mining resources from space. Hopes are high that these mining efforts will provide an economic boon by producing jobs and injecting more money into the economy. 214 Additionally, the negative impact of mining natural resources on Earth is widely reported215 and might be mitigated by space mining. If mining precious resources from space can minimize the burden on Earth, then this would lend even greater support for asteroid mining. Finally, little enchants the human mind and propels innovation more than sending people and manmade objects into space. For good reason, there is much enthusiasm about the prospect of space mining. On the other hand, it is troublesome to some that private, commercial entities will be paving the way and making up many of the rules as they go. Might this lead to repeating many of the mistakes humans have made on Earth? Might there be unforeseen problems that could spell trouble if mining efforts are not properly regulated? The answer to these questions is likely “yes” as well. It will be important in the coming years to balance the former excitement against the latter caution. Space might seem limitless and impossible to affect in any significant fashion; but, history must be a major voice for the spacemining industry.216 It must be remembered that humans can make an impact that will be felt for generations to come. Thus, it will be important that lawmakers and the international community be as proactive as possible—both in outlining property rights and protecting the final frontier from being harmed by an industry that might become overzealous if left unchecked. Specifically, it will be vital for countries to enter into some sort of international agreement. One option is to create an agreement similar to UNCLOS, which would regulate how individual states and their citizens interact with resources mined from space.217 Such an agreement should recognize not only the property rights of the extracting commercial entities but also the rights of non-spacefaring countries to benefit from the minerals as well. This might include the creation of an international body, much like the ISA, that will ensure that the interests of all nations are maintained by distributing funds and technology to less wealthy or non-spacefaring nations. The U.S. would do well to help create and ratify such an agreement— something they have failed to do with UNCLOS. If the U.S. and other countries are uneasy about entering into such a restrictive agreement, they might also consider an international regulatory body and scheme much like the one used for satellites. The International Telecommunications Union (ITU) is a United Nations agency that, among other services, provides the international community with uniform satellite orbit oversight and regulatory guidance.218 Currently, 193 countries follow the ITU regulations and utilize their services, which have been likened to domain name registration.219 In the same way, spacefaring countries could form an international body that helps create and maintain a uniform space-mining legal framework.220 Without some sort of international framework as described above, the U.S. and other space-mining countries leave themselves open to great conflict and will be required to patch together a multitude of treaties between themselves as problems inevitably arise.221 V. CONCLUSION The idea of mining resources from celestial bodies is something that has always been relegated to video games and sci-fi movies. But as technology continues to progress at an exponential rate, such mining is starting to come within the realm of possibility. A number of companies are currently creating prospecting technologies that will allow them to determine exactly what an individual asteroid holds. They hope to eventually harvest these resources and sell them for lucrative profits. Fortunately for these companies, the current legal regime governing property rights to space resources is undergoing rapid change at the national level. The U.S. recently passed the Space Resource Exploration and Utilization Act of 2015, which explicitly entitles U.S. citizens to property rights over any space resources they obtain. This is certain to induce confidence in U.S. investors. The situation at the international level is different. Current international space agreements are vague, lacking in consensus, and provide little precedent for ownership of space resources. This has led the international community to move in the direction of creating a better regulatory framework, but this movement is still in discussion stages and is likely to take a while to come to fruition.

#### Current space treaties have zero authority and lack clarity—which creates ineffective regulations

MacWhorter 16 – Kevin, J.D from William and Mary College and Contributor to the William & Mary Environmental Law and Policy Review, “Sustainable Mining: Incentivizing Asteroid Mining in the Name of Environmentalism”, *William & Mary Environmental Law and Policy Review,* 2016, <https://scholarship.law.wm.edu/cgi/viewcontent.cgi?article=1653&context=wmelpr>

Although an academic debate at this point, the legal status of property in space is necessary for any future exploration and exploitation of natural resources in space. Until then, private exploration is severely disincentivized. Further, the technology behind asteroid mining is fast becoming a reality.108 The law must respond. In order to evaluate what the international community needs to accomplish to ensure future exploration, one must explore the international agreements already in place that speak to the issue of property rights. To begin, the United Nations (UN) established the UN Office of Outer Space Affairs (UNOOSA) in 1958 109 to promote international cooperation in space and promote its peaceful use.110 UNOOSA oversees the UN’s Committee on the Peaceful Uses of Outer Space (COPUOS) and implements its decisions.111 The UN founded COPUOS to avoid international rivalries in space.112 The OST, the Liability Convention,113 and the Moon Agreement114 are all within the jurisdiction of COPUOS. There are five international agreements that lay a framework of space law and, more importantly, ownership of objects and celestial bodies in space: • The Treaty on Principles Governing the Activities of Space, Including the Moon and Other Celestial Bodies (OST); 115 • The Agreement on the Rescue of Astronauts, the Return of Astronauts and the Return of Space Objects Launched into Outer Space(ARRA); 116 • The Convention on International Liability for Damage Caused by Space Objects (Liability Convention); 117 • TheConvention on RegistrationofObjectsLaunched intoOuterSpace (Registration Convention); 118 and • The Agreement Governing the Activities of States on the Moon and Other Celestial Bodies (Moon Treaty). 119 As with all international law, however, the actual authority of these treaties is debatable, because countries often ignore their precepts or disagree on the meaning of their substance.120 International custom, therefore, is the major indication of what international law exactly is.121 The Law of the Sea is an instructive analogy on that point, and as Lyall and Larsen explain, The practice need not be wholly uniform, but must be undertaken in the belief it is binding and required by law as opposed to being merely convenient or mutually beneficial. 122 Further, international law in general was conceived to deal with relations between States, not to deal with private claims of property. 123 International.

#### Disputes and misperceptions create cascading effects towards space weaponization and an arms race—an international framework solves BUT unilateral action causes escalating space wars

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The first concern is establishing clear regulations regarding asteroid mining. With an intent to establish clear regulations with respect to asteroid mining and to legalise material extraction from the moon and other celestial bodies by private companies in the US, the US government legalised space mining in 2015 by introducing the US Commercial Space Launch Competitiveness Act, 2015.[xxvii] This move was heartily welcomed by the private companies as it provided legitimacy to their planned activities. Subsequently in 2017, Luxembourg followed suit.[xxviii] While the US has been a spacefaring nation for many decades now, Luxembourg aspires to become a global leader in the nascent race to mine resources in outer space. In the 1980s the tiny European nation arose out of almost nowhere to become a leader in the satellite communications industry; today it is looking to the skies again, hoping to be the Silicon Valley of asteroid mining.[xxix] In the backdrop of a thriving steel industry that faced trade recession during the oil crisis of 1973, Luxembourg is trying to capitalise on the potential of space mining. As Prime Minister Xavier Bettel put it, “We realized it wouldn't be forever, the steel, so we decided to do other things.”[xxx] Similarly, looking beyond oil, the UAE is framing its policy approaches to make advances in two key areas: human space exploration, and commercial activities of resource extraction through mining.[xxxi] The two formal pieces of legislation (passed by the US and Luxembourg) provide an answer to the complex question of ownership in outer space; the two-word answer appears to be, “finders, keepers”. The US Commercial Space Launch Competitiveness Act, 2015 states: “A US citizen engaged in commercial recovery of an asteroid resource or a space resource shall be entitled to any asteroid resource or space resource obtained.”[xxxii] This legislation gives US space firms the right to own, keep, use, and sell the spoils of the cosmos as they deem fit. Luxembourg’s legislation is fairly analogous to the US Act, giving mining companies the right to keep their plunder. However, unlike the US law, Luxembourg’s does not require a company’s major stakeholders to be based in the country to enjoy its safeguards; the only requirement is for that company to have an office in the country.[xxxiii] In 2017, Japan entered into a five-year agreement with Luxembourg for mining operations in celestial bodies. Japan today appears a step closer to realising its objective of asteroid mining with two Japanese rovers, Minerva II-1, of JAXA landing on the surface of the asteroid named Ryugu in September 2018.[xxxiv] Earlier, Portugal and the UAE signed similar cooperation agreements with Luxembourg.[xxxv] Meanwhile, a few other countries—which have been critical of the US and Luxembourg, at the forefront of the space mining efforts—have also decided to join the field. The increasingly competitive and contested nature of outer space activities is spurring major spacefaring nations to push the boundaries in their space exploration. Asteroid mining could possibly become the next big thing and is already seeing a race among the space powers. The US and Luxembourg are at the forefront in space resource extraction in terms of the policy frameworks and funding.[xxxvi] Even as the US has clarified that the US Space Act 2015 is being misunderstood and that there is no change in the US policy towards national appropriation of space, the reality is that it has already spurred a major debate.[xxxvii] China and Russia are among those countries that are following on the path of the US and Luxembourg in undertaking mining missions in space. According to media reports, Ye Peijian, chief commander and designer of China’s lunar exploration programme has stated that China would send the first batch of asteroid exploration spacecraft around 2020.[xxxviii] Speaking to China’s Ministry of Science and Technology-run newspaper, Science and Technology Daily, Ye said that these asteroids have a high concentration of precious metals, which could rationalise the huge cost and risks involved in these activities as their economic value could run into the trillions of US dollars. Therefore, extraction, mining and transporting them back to Earth through robotic equipment will be a significant activity. Chinese scientists are working on missions to “bring back a whole asteroid weighing several hundred tonnes, which could turn asteroids with a potential threat to Earth into usable resources.”[xxxix] Ye was also quoted as saying that China has plans of “using an asteroid as the base for a permanent space station.”[xl] Helium mining on the moon is also part of China’s goals.[xli] Russia, for its part, is also responding to the space-mining developments of the last decade. For one, it plans to have a permanent lunar base somewhere between 2015 and 2020 for possible extraction of Helium.[xlii] Even as Russia’s official position on asteroid mining is that it is forbidden under the 1967 OST—which states that space is the “province of mankind”—the Russian industry players are of the view that they must follow the lead taken by the US and Luxembourg.[xliii] In early 2018, the director of the Scientific-Educational Center for Innovative Mining Technologies of the Moscow-based National University of Science and Technology MISIS (NUST MISIS), Pavel Ananyev, spoke about the Russian ambitions and proposed activities including space drilling rigs, water extraction on the Moon and 3D printers at space stations.[xliv] Russia’s private space companies including Dauria Aerospace, one of the first Russian private space companies, also hold the opinion that they must go forward in the same direction and call for a larger space to private sector to engage in extracting space resources.[xlv] Moscow may not have yet actively pursued space mining and resource extraction, but it is likely to pick up pace in the coming years alongside global efforts. Moscow clearly has a capacity gap in terms of funding because its earlier plans to have a permanent base in the Moon by 2015 is yet to happen. India, too, has ambitions in extraterrestrial resource extraction. In fact, a year after the US legislation, Prabhat Ranjan, executive director of Technology Information, Forecasting and Assessment Council (TIFAC), a policy organisation within the Department of Science and Technology, made a case for India to push ahead with lunar and asteroid mining. He said, “Moon is already being seen as a mineral wealth and further one can go up to the asteroids and start exploiting this. This can be a big game changer and if India doesn’t do this, we will lag behind.”[xlvi] More recently, Dr. K Sivan, Chairman of the country’s civil space organisation, Indian Space Research Organisation (ISRO), talked about ISRO’s plans for helium-3 extraction and said, “the countries which have the capacity to bring that source from the moon to Earth will dictate the process. I don’t want to be just a part of them, I want to lead them.”[xlvii] However, gaining proficiency in such missions is not easy – the NASA and ESA (the European Space Agency) have been discussing these possibilities for a longer time, albeit quietly. The ISRO Chairman’s response was characterised by an Indian commentator as “aspirational” and “emotional”, clearly conceding that the country’s technological wherewithal is yet to be adequate.[xlviii] Importantly, it is not clear how the legal and regulatory aspects of space mining operations are being dealt with. There was one instance, though, when Luxembourg and Japan in a joint press statement said, “The exchange of information may cover all the issues of the exploration and commercial utilization of space resources, including legal, regulatory, technological, economic, and other aspects.”[xlix] Whether such legalisation is truly legal is arguable. Space Mining: Legal or Not? The Outer Space Treaty (OST) of 1967, considered the global foundation of the outer space legal regime, along with the other four associated international instruments have provided the fundamental basis for outer space activities by prohibiting certain activities and emphasising aspects such as the “common heritage of mankind”. These agreements have been useful in highlighting the global common nature of outer space. At the same time, however, they have been insufficient and ambiguous in providing clear regulations to newer space activities such as asteroid mining. Based on the premise of ‘res communis’, the magna carta of space law, the OST, illustrates outer space as “the province of all mankind”.[l] Under Article I, States are free to explore and use outer space and to access all celestial bodies “on the basis of equality and in accordance with international law.”[li] Although the OST does not explicitly mention “mining” activities, under Article II, outer space including the Moon and other celestial bodies are “not subject to national appropriation by claim of sovereignty” through use, occupation or any other means.[lii] Furthermore, the Moon Agreement, 1979, not only defines outer space as “common heritage of mankind” but also proscribes commercial exploitation of planets and asteroids by States unless an international regime is established to govern such activities for “rational management,” “equitable sharing” and “expansion of opportunities” in the use of these resources.[liii] Slipping conveniently through the loophole in the OST, both the US and Luxembourg have authorised companies to claim exclusive ownership over extracted resources (but not of the asteroid itself). Proponents argue that since no sovereign nation is actually asserting rights over an area of outer space, instead, it is only a private unit claiming rights over singular resources, the treaty norm, “national appropriation by claim of sovereignty”, is not being violated. In the words of renowned space lawyer, Frans von der Dunk, “In terms of the law, yes it’s true that no country can claim any part of outer space as national territory — but that doesn’t mean private industry can’t mine resources.”[liv] Quoting reference from maritime law, Luxembourg regards space resources as appropriable akin to fish and shellfish, but celestial bodies and asteroids are not, just like the high sea. It is noteworthy that out of the only 18 nations that have ratified the Moon Agreement,[lv] none are major spacefaring nations, thereby giving themselves a convenient leeway to not abide by the same. These unilateral initiatives have set off a critical response from the international community. Applying literal interpretation of the OST, there is certainly room to construe that space mining may be legal, compared to the Moon Agreement whose prohibition is absolute. However, taking into consideration the letter and spirit of the OST, strengthened by the Moon Agreement, the argument that “national appropriation” only extends to appropriation of territory and not appropriation of resources is a far reach. That resource extraction is contemplated, albeit implicitly, in the OST, is nothing but logical. Not only have such claims of possessory rights not been recognised in the past, there is also global consensus regarding its illegality.[lvi] It therefore forms a part of customary international law, despite the Moon Agreement not having been widely ratified. In this light, the legalisation of space mining is a sheer violation of the elemental principles of international space law. Yet, there is no clarity on what activity is allowed and what is prohibited in outer space under the existing law.[lvii] There is ambiguity around most issues—from “who would license and regulate asteroid mining operations” to the legality of these activities as per the existing international space law.[lviii] When comparing it to the law of the seas, resource appropriation in the high seas and deep seabed is governed by the United Nations Convention on the Law of the Sea (UNCLOS), 1982, and that in Antarctica, as per the Protocol on Environmental Protection to the Antarctic Treaty, 1991. While the former is strictly regulated under Part XI of UNCLOS, the latter is completely forbidden but for scientific purposes. The law of the sea argument—“owning the fish, not the sea”—cannot be applied to outer space primarily because fish are living resources that can reproduce and therefore are renewable. Outer space resources, on the other hand, are depletable: once harvested, they cannot be replenished. The analogy with fish and seas, therefore, is not a fair one and its transposition to outer space and celestial bodies would be inaccurate. Perhaps a more comparable regime is the deep seabed, which contemplates property rights over mineral extraction. The utilisation and ownership of the deep seabed’s resources are exclusively structured around the International Seabed Authority (ISA), which is responsible for organising, carrying out and controlling all activities in the seabed.[lix] Not only must State parties seek sanction from the ISA before beginning resource exploitation, but the fiscal benefits from seabed mining must also be shared among all.[lx] Evidently, even the UNCLOS upholds State ownership and fair distribution over individual ownership and self-centred gains.[lxi] By allowing private ownership, the US and Luxembourg are once again in contravention of the very same law they are relying on. The touchstone principle, “province of all mankind” is also being defeated. Therefore, to even reap the limited benefits as under UNCLOS, at least the derivation must be made alike. This argument too falls flat. The Way Ahead Undoubtedly, growing technological adeptness has made space mining inevitable and, therefore, the question is no longer “if” but “when”. Nevertheless, a scenario where companies can, solely based on domestic laws, steadily exploit mineral resources in outer space, would be universally unacceptable. Minus regulations, the realisation of space exploitation will create great disparity between nations and disrupt dynamics of the world economy. Regulations are particularly important in the context of the space debris problem. We definitely do not wish for a future, befittingly described by renowned engineer and inventor Graham Hawkes, thus: “Space exploration promised us alien life, lucrative planetary mining, and fabulous lunar colonies. News flash, ladies and gents: Space is nearly empty. It’s a sterile vacuum, filled mostly with the junk we put up there.”[lxii] Therefore, it is extremely important that resource appropriation is carried out in an ethical manner, without interrupting safe and secure access to outer space, simultaneously allowing all countries a share in the proceeds. Technological advances and financial readiness are pushing both, states and non-state players towards new ventures in outer space. Yet, the rules of engagement especially dealing with the new commercial activities are far from ideal. There is a clear and urgent need to debate and come up with either a new regulation or accommodate the space mining activities within the existing international legal measures. Experts have articulated that these could possibly be addressed under the existing property law principles or old mining law principles.[lxiii] However, given the scale of activities that states and non-state parties will engage in, the ability of the existing regime to address space mining could be highly inadequate. The second option would be to develop a new instrument including an institutional architecture that would set out the parameters for activities related to resource extraction and space mining. Since there are a good number of commercial players playing a formidable role in asteroid mining, there has to be space for commercial players in the new gig, which might be a big departure from the earlier era institutions that saw states being the sole authority in regulating activities in outer space. A clear role for commercial players has been articulated for some time but the global space community has yet to reach a consensus in how they can be incorporated into the global governance debates. The apprehension on the part of a number of states is driven by the fact that private sector participation is still largely a western phenomenon. This trend may be undergoing change in other parts of the world but until there is a sizeable private sector community in other major spacefaring powers, there is a fear that the western bloc of countries may stand to gain from the industry being represented in the global governance debates. A third possible option is to get a larger global endorsement of the Moon Treaty, which highlights the common heritage of mankind. The Moon Treaty is important as it addresses a “loophole” of the OST “by banning any ownership of any extraterrestrial property by any organization or private person, unless that organization is international and governmental.”[lxiv] But the fact that it has been endorsed only by a handful of countries makes it a “failure” from the international law perspective.[lxv] Nevertheless, efforts must be made to strengthen the support base for the Moon Agreement given the potential pitfalls of resource extraction and space mining activities in outer space. Signatories to the Moon Treaty can take the lead within multilateral platforms such as the UN to debate the usefulness of the treaty in the changed context of technological advancements and new geopolitical dynamics, and potentially find compromises where there are disagreements. Pursuing a collective approach is ideal. An example is UNCLOS, which demonstrates that the international society possesses the capability of regulating mining quarters deemed to be the “province of mankind”. However, a sui generis legal framework must be crafted because the difference between the marines and outer space and their resources is wide, and the regulations are too region-specific to permit a superimposition of the oceanic regime to outer space. A sound legal environment will protect both the company performing operations and its beneficiaries, while ensuring even-handed resource allocation. In addition, regulations spelling out safety standards and identifying safety zones around mining operations could be useful in ensuring safe and secure operations in outer space. It would be wrong, however, to say that the international community has not debated over this. In fact, one of the main agenda points of the fifty-seventh session of UNCOPUS Legal Committee held in April 2018, was especially devoted to “general exchange of views on potential legal models for activities in the exploration, exploitation and utilization of space resources.”[lxvi] Upon evaluation, it is clear that countries are not against space mining as such; rather the contentious points are vis-à-vis authorisation, regulation, and where to place responsibility. There also appears to be concurrence regarding the need for international coordination efforts of some sort. Over the last two years, The Hague Space Resources Governance Working Group,[lxvii] established with the purpose of “assess[ing] the need for a regulatory framework for space resource activities, has identified 19 “building blocks”,[lxviii] encompassing subject matters that could be included in such a regulatory framework. Although this leaves a lot of hope for the legitimate mining of space resources, its status is still pending. Also, several questions need to be agreed upon by the global space policy community before the establishment of a framework. First, there must be an agreement among all the space powers on the need for a global governance framework for the use of space resources. This must be followed by detailed deliberations on the scope, mandate and objectives of such a framework. Can and should there be safety zones and exclusive rights be recognised under such a framework and how one can ensure equitable sharing of the resources, and lastly, the role of industries and how the interests of the industry as pioneers in this area can be secured. These are all pertinent questions that need to be considered and debated before an international regime for extraction and use of space resources can be established.[lxix] Even legal space mining activity could have serious impacts in two ways. For instance, any technological spinoffs that a country might have could add to the space weaponisation debate. Two, the erosion of norms with regard to space mining could have a cascading effect on other norms in the same issue area such as weaponisation of space. It is imperative for nations to actively combine their efforts to ensure that this activity transpires in the most globally acceptable manner and not one which stirs anarchism. The ancient Roman maxim, ‘Quod omnes tangit ab omnibus approbatur’ (What touches all must be approved by all) gains due traction in this kind of a scenario. Therefore, a universal activity like space exploration mandates an international guideline; or else, the first haul from mining, instead of earning admiration and exultation, will only be enmeshed in litigation.

### Advantage – Space War

#### Inevitable market expansion guarantees wars over property rights—governments get quickly involved

Funnell 18 – Anthony, Writer for Future Tense News Citing Dean of Law at University of Adelaide, “War in space 'inevitable' because there's so much money to be made, expert warns”, ABC News, 8/23/2018, https://www.abc.net.au/news/2018-08-24/conflict-in-space-is-inevitable-expert-warns/10146314

A leading Australian space law expert has warned conflict over space assets is "inevitable", and more needs to be done now to avert the potential for hostility. Professor Melissa de Zwart, the Dean of Law at the University of Adelaide, says growing commercial interest in the mining of precious minerals on asteroids and planets has heightened the danger. "I think you have to be a realist about that," she said. "Where you have resources, where you have competition for those resources, where you have investment of money in the extraction of those resources ... there will be an expectation of security around that investment." While full-scale mining is yet to be tried, there is significant international interest. Japanese aerospace agency Jaxa has already successfully landed a robotic craft on an asteroid and taken samples. It currently has another probe hovering over an asteroid named Ryugu. Artist's impression of Hayabusa 2 PHOTO: Artist's impression of Jaxa's robotic craft flying above Ryugu. (Source: JAXA) Two American companies — Deep Space Industries and Planetary Resources — are thought to be the leaders in the field, but in May this year a UK firm called Asteroid Mining Corporation also entered the race. "Those corporations will be looking to the nation-state to say, well, are you going to protect our investment in this business?" Professor de Zwart said. A very crowded space The US Government and American firms continue to play a dominant role in more traditional space technology development and deployment. SpaceX, for example, is a major private supplier of rockets, while the US Air Force currently coordinates international satellite traffic, providing advanced warnings about potentially dangerous space debris. Listen to the episode Are we moving away from the notion that space is for all humankind? And is conflict in space inevitable? But the number of players is rapidly increasing. The OECD's Space Forum says more than 80 countries now have some form of space program, mostly concentrated on rockets, satellites and satellite-related services and technology. They estimate the global industry is worth somewhere around $US400 billion and growing quickly. And that figure could skyrocket if, and when, asteroid mining kicks off. Eric Stallmer, the president of the US-based Commercial Spaceflight Federation, a consortium of 85 space-related organisations and businesses, believes that moment is fast approaching. "I think we are looking at a five to 10-year timetable for developing that technology. It makes for an exciting time," he said

#### Asteroid mining furthers tensions between the US, China and Russia and escalates

Jamasmie 21 Cecilia Jamasmie [Cecilia has covered mining for more than a decade. She is particularly interested in Corporate Social Responsibility (CSR), Diamonds and Latin America. Cecilia has been interviewed by BBC News and CBC among others and has been a guest speaker at mining conventions, including MINExpo 2016 and the World’s Copper Conference 2018. She is also member of the expert panel on Social License to Operate (SLO) at the European project MIREU (Mining and Metallurgic Regions EU). She holds a Master of Journalism from the University of British Columbia, and is based in Nova Scotia.], 2-2-2021, "Experts warn of brewing space mining war among US, China and Russia," MINING, <https://www.mining.com/experts-warn-of-brewing-space-mining-war-among-us-china-and-russia/> DD AG

A brewing war to set a mining base in space is likely to see China and Russia joining forces to keep the US increasing attempts to dominate extra-terrestrial commerce at bay, experts warn. The Trump Administration took an active interest in space, announcing that America would return astronauts to the moon by 2024 and creating the Space Force as the newest branch of the US military.It also proposed global legal framework for mining on the moon, called the Artemis Accords, encouraging citizens to mine the Earth’s natural satellite and other celestial bodies with commercial purposes. The directive classified outer space as a “legally and physically unique domain of human activity” instead of a “global commons,” paving the way for mining the moon without any sort of international treaty. Spearheaded by the US National Aeronautics and Space Administration (NASA), the Artemis Accords were signed in October by Australia, Canada, England, Japan, Luxembourg, Italy and the United Emirates “Unfortunately, the Trump Administration exacerbated a national security threat and risked the economic opportunity it hoped to secure in outer space by failing to engage Russia or China as potential partners,” says Elya Taichman, former legislative director for then-Republican Michelle Lujan Grisham. “Instead, the Artemis Accords have driven China and Russia toward increased cooperation in space out of fear and necessity,” he writes.Russia’s space agency Roscosmos was the first to speak up, likening the policy to colonialism. “There have already been examples in history when one country decided to start seizing territories in its interest — everyone remembers what came of it,” Roscosmos’ deputy general director for international cooperation, Sergey Saveliev, said at the time.China, which made history in 2019 by becoming the first country to land a probe on the far side of the Moon, chose a different approach. Since the Artemis Accords were first announced, Beijing has approached Russia to jointly build a lunar research base. President Xi Jinping has also he made sure China planted its flag on the Moon, which happened in December 2020, more than 50 years after the US reached the lunar surface.

#### Space wars go nuclear

Grego 18 – Laura, Senior Scientist in the Global Security Program at the Union of Concerned Scientists, Postdoctoral Researcher at the Harvard-Smithsonian Center for Astrophysics, PhD in Experimental Physics at the California Institute of Technology, Space and Crisis Stability, Union of Concerned Scientists, 3-19-18, <https://www.law.upenn.edu/live/files/7804-grego-space-and-crisis-stabilitypdf>

Why space is a particular problem for crisis stability For a number of reasons, space poses particular challenges in preventing a crisis from starting or from being managed well. Some of these are to do with the physical nature of space, such as the short timelines and difficulty of attribution inherent in space operations. Some are due to the way space is used, such as the entanglement of strategic and tactical missions and the prevalence of dual-use technologies. Some are due to the history of space, such the absence of a shared understanding of appropriate behaviors and consequences, and a dearth of stabilizing personal and institutional relationships. While some of these have terrestrial equivalents, taken together, they present a special challenge. The vulnerability of satellites and first strike incentives Satellites are inherently fragile and difficult to protect; in the language of strategic planners, space is an “offense-dominant” regime. This can lead to a number of pressures to strike first that don‘t exist for other, better-protected domains. Satellites travel on predictable orbits, and many pass repeatedly over all of the earth‘s nations. Low-earth orbiting satellites are reachable by missiles much less capable than those needed to launch satellites into orbit, as well as by directed energy which can interfere with sensors or with communications channels. Because launch mass is at a premium, satellite armor is impractical. Maneuvers on orbit need costly amounts of fuel, which has to be brought along on launch, limiting satellites‘ ability to move away from threats. And so, these very valuable satellites are also inherently vulnerable and may present as attractive targets. Thus, an actor with substantial dependence on space has an incentive to strike first if hostilities look probable, to ensure these valuable assets are not lost. Even if both (or all) sides in a conflict prefer not to engage in war, this weakness may provide an incentive to approach it closely anyway. A RAND Corporation monograph commissioned by the Air Force15 described the issue this way: First-strike stability is a concept that Glenn Kent and David Thaler developed in 1989 to examine the structural dynamics of mutual deterrence between two or more nuclear states.16 It is similar to crisis stability, which Charles Glaser described as ―a measure of the countries‘ incentives not to preempt in a crisis, that is, not to attack first in order to beat the attack of the enemy,‖17 except that it does not delve into the psychological factors present in specific crises. Rather, first strike stability focuses on each side‘s force posture and the balance of capabilities and vulnerabilities that could make a crisis unstable should a confrontation occur. For example, in the case of the United States, the fact that conventional weapons are so heavily dependent on vulnerable satellites may create incentives for the US to strike first terrestrially in the lead up to a confrontation, before its space-derived advantages are eroded by anti-satellite attacks.18 Indeed, any actor for which satellites or space-based weapons are an important part of its military posture, whether for support missions or on-orbit weapons, will feel “use it or lose it” pressure because of the inherent vulnerability of satellites. Short timelines and difficulty of attribution The compressed timelines characteristic of crises combine with these “use it or lose it” pressures to shrink timelines. This dynamic couples dangerously with the inherent difficulty of determining the causes of satellite degradation, whether malicious or from natural causes, in a timely way. Space is a difficult environment in which to operate. Satellites orbit amidst increasing amounts of debris. A collision with a debris object the size of a marble could be catastrophic for a satellite, but objects of that size cannot be reliably tracked. So a failure due to a collision with a small piece of untracked debris may be left open to other interpretations. Satellite electronics are also subject to high levels of damaging radiation. Because of their remoteness, satellites as a rule cannot be repaired or maintained. While on-board diagnostics and space surveillance can help the user understand what went wrong, it is difficult to have a complete picture on short timescales. Satellite failure on-orbit is a regular occurrence19 (indeed, many satellites are kept in service long past their intended lifetimes). In the past, when fewer actors had access to satellite-disrupting technologies, satellite failures were usually ascribed to “natural” causes. But increasingly, even during times of peace operators may assume malicious intent. More to the point, in a crisis when the costs of inaction may be perceived to be costly, there is an incentive to choose the worst-case interpretation of events even if the information is incomplete or inconclusive. Entanglement of strategic and tactical missions During the Cold War, nuclear and conventional arms were well separated, and escalation pathways were relatively clear. While space-based assets performed critical strategic missions, including early warning of ballistic missile launch and secure communications in a crisis, there was a relatively clear sense that these targets were off limits, as attacks could undermine nuclear deterrence. In the Strategic Arms Limitation Treaty, the US and Soviet Union pledged not to interfere with each other‘s ―national technical means‖ of verifying compliance with the agreement, yet another recognition that attacking strategically important satellites could be destabilizing.20 There was also restraint in building the hardware that could hold these assets at risk. However, where the lines between strategic satellite missions and other missions are blurred, these norms can be weakened. For example, the satellites that provide early warning of ballistic missile launch are associated with nuclear deterrent posture, but also are critical sensors for missile defenses. Strategic surveillance and missile warning satellites also support efforts to locate and destroy mobile conventional missile launchers. Interfering with an early warning sensor satellite might be intended to dissuade an adversary from using nuclear weapons first by degrading their missile defenses and thus hindering their first-strike posture. However, for a state that uses early warning satellites to enable a “hair trigger” or launch-on-attack posture, the interference with such a satellite might instead be interpreted as a precursor to a nuclear attack. It may accelerate the use of nuclear weapons rather than inhibit it. Misperception and dual-use technologies Some space technologies and activities can be used both for relatively benign purposes but also for hostile ones. It may be difficult for an actor to understand the intent behind the development, testing, use, and stockpiling of these technologies, and see threats where there are none. (Or miss a threat until it is too late.) This may start a cycle of action and reaction based on misperception. For example, relatively low-mass satellites can now maneuver autonomously and closely approach other satellites without their cooperation; this may be for peaceful purposes such as satellite maintenance or the building of complex space structures, or for more controversial reasons such as intelligence-gathering or anti-satellite attacks. Ground-based lasers can be used to dazzle the sensors of an adversary‘s remote sensing satellites, and with sufficient power, they may damage those sensors. The power needed to dazzle a satellite is low, achievable with commercially available lasers coupled to a mirror which can track the satellite. Laser ranging networks use low-powered lasers to track satellites and to monitor precisely the Earth‘s shape and gravitational field, and use similar technologies. 21 Higher-powered lasers coupled with satellite-tracking optics have fewer legitimate uses. Because midcourse missile defense systems are intended to destroy long-range ballistic missile warheads, which travel at speeds and altitudes comparable to those of satellites, such defense systems also have inherent ASAT capabilities. In fact, while the technologies being developed for long-range missile defenses might not prove very effective against ballistic missiles—for example, because of the countermeasure problems associated with midcourse missile defense— they could be far more effective against satellites. This capacity is not just theoretical. In 2007, China demonstrated a direct-ascent anti-satellite capability which could be used both in an ASAT and missile defense role, and in 2009, the United States used a ship-based missile defense interceptor to destroy a satellite, as well. US plans indicated a projected inventory of missile defense interceptors with capability to reach all low earth orbiting satellites in the dozens in the 2020s, and in the hundreds by 2030.22 Discrimination The consequences of interfering with a satellite may be vastly different depending on who is affected and how, and whether the satellite represents a legitimate military objective. However, it will not always be clear who the owners and operators of a satellite are, and users of a satellite‘s services may be numerous and not public. Registration of satellites is incomplete23 and current ownership is not necessarily updated in a readily available repository. The identification of a satellite as military or civilian may be deliberately obscured. Or its value as a military asset may change over time; for example, the share of capacity of a commercial satellite used by military customers may wax and wane. A potential adversary‘s satellite may have different or additional missions that are more vital to that adversary than an outsider may perceive. An ASAT attack that creates persistent debris could result in significant collateral damage to a wide range of other actors; unlike terrestrial attacks, these consequences are not limited geographically, and could harm other users unpredictably. In 2015, the Pentagon‘s annual wargame**,** or simulated conflict, involving space assets focused on a future regional conflict. The official report out24warnedthatit was hard to keep the conflict contained geographically when using anti-satellite weapons: As the wargame unfolded, a regional crisis quickly escalated, partly because of the interconnectedness of a multi-domain fight involving a capable adversary. The wargame participants emphasized the challenges in containing horizontal escalation once space control capabilities are employedto achieve limited national objectives. Lack of shared understanding of consequences/proportionalityStates havefairly similar understandings of the implications of military actions on the ground, in the air, and at sea,built over decades of experience. The United States and the Soviet Union/Russia have built some shared understanding of each other‘s strategic thinking on nuclear weapons, though this is less true for other states with nuclear weapons. But in the context of nuclear weapons, there is an arguable understanding about the crisis escalation based on the type of weapon (strategic or tactical) and the target (counterforce—against other nuclear targets, or countervalue—against civilian targets). Because of a lack of experience in hostilities that target space-based capabilities, it is not entirely clear what the proper response to a space activity is and where the escalation thresholds or “red lines” lie. Exacerbating this is the asymmetry in space investments; not all actors will assign the same value to a given target or same escalatory nature to different weapons.

#### Nuclear war causes extinction.

Starr ’17 (Steven; director of the University of Missouri’s Clinical Laboratory Science Program, senior scientist at the Physicians for Social Responsibility, Associate member of the Nuclear Age Peace Foundation, expert in the environmental consequences of nuclear war; 1/9/17; “Turning a Blind Eye Towards Armageddon — U.S. Leaders Reject Nuclear Winter Studies”; <https://fas.org/2017/01/turning-a-blind-eye-towards-armageddon-u-s-leaders-reject-nuclear-winter-studies/>; Federation of American Scientists; accessed 11/24/18; TV) [AV]

The detonation of an atomic bomb with this explosive power will **instantly ignite fires** over a surface area of three to five square miles. In the recent studies, the scientists calculated that the **blast**, **fire**, and **radiation** from a war fought with 100 atomic bombs could produce **direct fatalities** comparable to all of those worldwide in World War II, or to those once estimated for a “**counterforce**” **nuclear war** between the superpowers. However, the **long-term environmental effects** of the war **could** significantly disrupt the global weather for at least a decade, which would likely **result in** a vast **global famine**. The scientists predicted that **nuclear firestorms** in the burning cities would cause at least five million tons of **black carbon smoke** to quickly rise above cloud level into the stratosphere, where it could not be rained out. The smoke would circle the Earth in **less than two weeks** and would form **a** global **stratospheric smoke layer** that **would remain for** more than **a decade**. The smoke would absorb warming sunlight, which would **heat the smoke** to temperatures near the boiling point of water, producing **ozone losses of** 20 to **50 percent** over populated areas. This would almost double the amount of UV-B reaching the most populated regions of the mid-latitudes, and it would create UV-B indices unprecedented in human history. In North America and Central Europe, the time required to get a painful sunburn at mid-day in June could decrease to as little as six minutes for fair-skinned individuals. As the smoke layer blocked warming sunlight from reaching the Earth’s surface, it would produce the **coldest** average **surface temperatures** in the last 1,000 years. The scientists calculated that global **food production would decrease** by 20 to **40 percent** during a five-year period following such a war. Medical experts have predicted that the shortening of growing seasons and corresponding decreases in agricultural production could cause up to **two billion** people to perish from **famine**. The climatologists also investigated the effects of a nuclear war fought with the vastly more powerful modern **thermonuclear** weapons possessed by the United States, Russia, China, France, and England. Some of the thermonuclear weapons constructed during the 1950s and 1960s were 1,000 times more powerful than an atomic bomb. During the last 30 years, the average size of thermonuclear or “strategic” nuclear weapons has decreased. Yet today, each of the approximately 3,540 strategic weapons deployed by the United States and Russia is seven to **80 times** more powerful than the atomic bombs modeled in the India-Pakistan study. The smallest strategic nuclear weapon has an explosive power of **100,000 tons of TNT**, compared to an atomic bomb with an average explosive power of 15,000 tons of TNT. Strategic nuclear weapons produce much larger nuclear firestorms than do atomic bombs. For example, a standard Russian 800-kiloton warhead, on an average day, will ignite fires covering a surface area of 90 to 152 square miles. A **war** fought with hundreds or thousands of U.S. and Russian strategic nuclear weapons would **ignite immense** **nuclear firestorms** covering land surface areas of many thousands or **tens of thousands** of square miles. The scientists calculated that these fires would produce up to **180 million tons** of black carbon soot and **smoke**, which would form a dense, **global stratospheric smoke layer**. The smoke would remain in the stratosphere for 10 to **20 years**, and it **would block** as much as **70 percent of sunlight** from reaching the surface of the Northern Hemisphere and 35 percent from the Southern Hemisphere. So much sunlight would be blocked by the smoke that the noonday sun would resemble a full moon at midnight. Under such conditions, it would only require a matter of days or weeks for daily minimum **temperatures** to **fall below freezing** in the largest agricultural areas of the Northern Hemisphere, where freezing temperatures would occur every day for a period of between one to more than two years. Average surface temperatures would become colder than those experienced 18,000 years ago at the height of the last Ice Age, and the prolonged cold would cause average rainfall to decrease by up to 90%. Growing seasons would be completely eliminated for more than a decade; it would be **too cold and dark** to grow food crops, **which would doom the** majority of the **human population.** NUCLEAR WINTER IN BRIEF The profound cold and darkness following nuclear war became known as nuclear winter and was first predicted in 1983 by a group of NASA scientists led by Carl Sagan. During the mid-1980s, a large body of research was done by such groups as the Scientific Committee on Problems of the Environment (SCOPE), the World Meteorological Organization, and the U.S. National Research Council of the U.S. National Academy of Sciences; their work essentially supported the initial findings of the 1983 studies. The idea of nuclear winter, published and supported by prominent scientists, generated extensive public alarm and put political pressure on the United States and Soviet Union to reverse a runaway nuclear arms race, which, by 1986, had created a global nuclear arsenal of more than 65,000 nuclear weapons. Unfortunately, this created a backlash among many powerful military and industrial interests, who undertook an extensive media campaign to brand nuclear winter as “bad science” and the scientists who discovered it as “irresponsible.” Critics used various uncertainties in the studies and the first climate models (which are primitive by today’s standards) as a basis to criticize and reject the concept of nuclear winter. In 1986, the Council on Foreign Relations published an article by scientists from the National Center for Atmospheric Research, who predicted drops in global cooling about half as large as those first predicted by the 1983 studies and described this as a “nuclear autumn.”

#### Resource extraction in space is not a sustainable market – profitability metrics ensure total collapse into monopolization

Gardenyes 2017 (Distri Josep Gardenyes, Marxist and anarchist writer, "New Technologies, Extraterrestrial Exploitation, And The Future Of Capitalism", It's Going Down, January 28 2017, <https://itsgoingdown.org/new-technologies-extraterrestrial-exploitation-future-capitalism/>, mmv)

2017 is the year of Google’s Lunar X Prize, through which the North American corporation (as important to 21st century capitalism as Ford was to 20th century capitalism) is offering $20 million to the first company that manages to send a landing craft to the moon, drive 500 meters, and transmit high-resolution images back to Earth. But they have to do it this year. And there are already various teams that are getting ready to meet the challenge. One of which is Moon Express, which has already become the first company in history to receive legal permission, from the US government in this case, to carry out commercial exploitations on the moon’s surface. If this team makes it to the moon—and they already have the necessary financing and a schedule of test launches—they won’t only win the Prize, they will also drop off a commercial payload that represents the first step in setting up an equipment delivery service to the moon, which will make the lunar mining of Helium-3 (a valuable fuel for nuclear reactors) feasible. Another company, Planetary Resources, claims that the mining of metals and water on asteroids could be a trillion dollar business. For them, water (and the hydrogen it contains, which could be used as spaceship fuel) is “the oil of space.” These are not empty words. Planetary Resources is another company that has a business plan and the technology needed to begin carrying out the mining it envisions. On the 14th of January, Space X returned to space. It’s one of the companies of Elon Musk (who is also preparing self-driving cars for commercial sale; the technology already works and the only obstacle are the legal regulations), the billionaire whose personal crusade is the colonization of Mars in the next two decades. Space X fixed a design flaw in its rockets and on the 14th made an effective launch, deploying 10 commercial satellites from the same rocket, which, subsequently, returned automatically to Earth, landing on a Space X drone ship waiting—with its entirely robotic crew—in the Pacific Ocean. The autonomous and reusable rockets (one could say, environmentally friendly) are one of the foundations of Musk’s plan for reaching Mars in a commercially feasible way. He has already developed a business plan for developing the technology and acquiring the resources needed to complete the mission. These are not isolated or insignificant companies. And the State is also paying attention to extraterrestrial colonization. The UN Treaty on Outer Space, from 1966, holds that space and space objects cannot be armed or claimed as territory, and that any economic activity had to be peaceful and for the good of all humanity. In 2015, in the Commercial Space Launch Competitiveness Act, the US government clarified the legal question, establishing the legal right of private companies to exploit the moon, asteroids, and other space objects. It gives private entities the right to own and sell resources extracted from space objects, but not to possess the object outright. In effect, they can mine the moon until it’s empty, but the private companies working there with their robotic factories couldn’t be considered the owners. The dotcom boom, which burst in 2000, shows that immense amounts of capital can be invested in companies that do not generate any profits for quite a few years before provoking a crash (in this case, it was six years). In fact, the crash didn’t come until the moment when a few new corporations showed the capacity to become profitable and productive, corporations that today are among the most powerful in the world, like Google, Amazon, and Facebook. We are at the beginning of a phase of massive investment and growth in the new sector of extraterrestrial transport and mining. The venture capitalists of this sector enjoy the advantage that the logistical foundation of their dream (everything connected with the launching of satellites, with their crucial military and commercial uses) is already in place and profitable. Similarly, Columbus didn’t have to invent the long-distance ships or the navigation equipment (which had already been developed by the Portuguese in the luxurious commercial circuits of the Indian Ocean), he just had to take them further. They still have a few years to yield profits with extraterrestrial extraction before the bubble bursts. If they achieve it, capitalism will once again undergo an intense growth and the moment of maximum vulnerability and maximum popular rage that the institutions now face will have passed. Extraterrestrial colonization is no longer a trope of science fiction. But speaking of science fiction, we must also point out the great imaginary production carried out by Hollywood and other centers of cultural work, which have redirected our gaze to the colonization of space. Since the 19th century, there have been occasional works that posed journeys beyond Planet Earth, but the current frenetic production is qualitatively and quantitatively incomparable. Its effect is not only the normalization of extraterrestrial activity, it also accustoms us to imagine the first steps of taking our civilization and the capitalist economy beyond the Earth’s gravity well.

#### Asteroid mining is all hype – benefits are exaggerated

Riederer 14 - editor-in-chief of Guernica magazine and writer at The New Yorker

Rachel Riederer, “Silicon Valley Says Space Mining Is Awesome and Will Change Life on Earth. That’s Only Half Right”, New Republic, 4/19/14 , <https://newrepublic.com/article/117815/space-mining-will-not-solve-earths-conflict-over-natural-resources>

It's become clear that there’s just not enough stuff on Earth to go around. We’re constantly fighting over land and water, jockeying for access to our home planet’s diamonds or oil or sugarcane or schools of fish. In the last few years a chorus of voices has arisen to suggest that we could solve these petty human squabbles by looking to space. “Everything we hold of value on this planet, metals, minerals, real estate, energy sources, fuel—the things we fight wars over—are literally in near infinite quantities in the solar system,” says Peter Diamandis, one of the founders of the asteroid-mining company Planetary Resources. He claims we have a “moral obligation to become an interplanetary species,” and that if we harness the resources in space, "the entire human race will be the beneficiary." Naveen Jain, founder of Moon Express, wants to do on the moon what Diamandis wants to do with asteroids. A recent CNBC profile quotes him as saying, “Once you take a mind-set of scarcity and replace it with a mind-set of abundance, amazing things can happen here on Earth.” MOST POPULAR Police Killed Her Boyfriend, Then Charged Her With His Murder Texas Is Bracing for a Blue Wave in 2020. Yes, Texas. America’s Most Powerful Gun Supporter What Indigenous Rights Have to Do With Fighting Climate Change Open Borders Made America Great This kind of exultant talk is perhaps to be expected from entrepreneurs describing their companies’ dreams, but Diamandis and Jain are not alone. In a radio interview this April, Neil deGrasse Tyson, the public face of American astrophysics, also voiced his excitement about the potential of space mining. “If you haul an asteroid the size of a house to Earth, it could have more platinum on it than has ever been mined in the history of the world. More gold than has ever been mined in the history of the world. When that happens”—and here his voice takes on the dreamy tone familiar to fans of "COSMOS: A Spacetime Odyssey," the Fox series he hosts—“the scarcity that has led to human-to-human violence, there’s a chance it could all go away.” Tyson admitted that he was being “a little hopeful”—he has also noted that it is far more likely that any resources found in space will be put to use in space first, not hauled back to Earth (more on that later)—but his comment captures the aura of starry-eyed excitement that surrounds space mining ventures. At Slate, Will Oremus wrote about the terrestrial tech world’s blasé response to the founding of Planetary Resources, and commanded, “Wake up! This is outer space we’re talking about! This is awesome!” It is awesome. To read about these ambitious plans, and to contemplate the scale of human brainpower and industriousness required to pull them off, fills one with awe. These new companies talk about space in a way that sounds unfamiliar to the civilian ear accustomed to the reverent tone of planetarium field trips; rather than the vastness of space, the companies emphasize its accessibility. Moon Express calls the moon “the eighth continent.” Planetary Resources wants to “bring the solar system into humanity’s sphere of influence.” Experiencing awe is fun. It's even more fun to imagine a world of outer-space abundance in which we don’t have to worry about fossil fuels and everyone can afford a platinum case for their iPhone. And there is great potential for resource extraction in space, though these ventures will carry great upfront costs and plenty of uncertainty about whether they will actually come to fruition. Many deadlines and timeline estimates are fast approaching or have passed already. What’s misleading about these projects isn’t that they’re subject to budget problems and delays, but that they come couched in overblown rhetoric about their potential to radically alter human life, to do away with the notion of scarcity and deliver us to a future of plenty and peace. It’s a pattern that has become familiar in Silicon Valley: develop a plan for a business that will do something cool and make a lot of money, but describe it instead as something that will change the world. Return to that platinum asteroid for a moment. There’s one that Planetary Resources has been tracking: It passes near the Earth’s orbit every 23 months and is a half-kilometer by one kilometer in size. A spacecraft could travel to it in around eight months. Diamandis estimates its total worth at between $300 billion and $5 trillion. If it were to be mined at some point in the future, it would drive down the global price of platinum, which might make some items more affordable—luxury jewelry, of course, but also catalytic converters for cars and hard disks for laptops and DVRs—but it would primarily make the investors of Planetary Resources extremely rich. Allusions to the Wild West abound in the literature of space-mining companies. The Moon Express website talks about “brave pioneers” who explored new territories "with the backing of a monarch or a state.” For these entrepreneurs, space is not a distant emptiness; beyond the frontier, they envision a business-place. And with the exception of a Cold War–era treaty prohibiting national appropriation of the moon, there aren’t laws about ownership in space; its riches are there for the taking, like gold nuggets in a California stream. In a March debate on "Selling Space," at the American Museum of Natural History, Space Foundation CEO Elliot Pulham said that asteroids are clearly up for grabs: “There’s no law that says you can’t snag an asteroid. Knock yourself out.” It’s certainly true that space is full of valuables. Billions of years ago, during the formation of the solar system, gravity pulled the heavy materials on would-be planets toward their cores, forcing the comparatively lighter rocky material out to the surface. When those planets broke apart, they became asteroids. Some are made of rocky surface fragments, but some are made of the core materials—platinum, gold, silver, palladium—that are rare and precious on Earth. At a press roundtable after the "Selling Space" debate, Tyson explained why this process matters so much to those who would mine the sky: “Nature has pre-sifted the ingredients for you. You go grab yourself an asteroid made from the core of a planet that never survived, and you’ve got this stuff concentrated in the palm of your hand.” This is what Manifest Destiny must have felt and sounded like. Wealth beyond your wildest dreams, and it’s there for the taking. You just have to get there first. Must-reads. 5 days a week. Sign Up The “getting there first” will not be simple, or cheap. Most of the asteroids in the solar system are in the asteroid belt between Mars and Jupiter. But the orbit paths of some near-Earth asteroids, or NEAs, bring them relatively close to our planet—that is, within around 30 million miles. Planetary Resources has developed what is essentially an outer-space drone: a small telescope-equipped spacecraft, around the size of a desktop computer, that will survey near-Earth asteroids. Once an asteroid is identified and determined to be valuable, the extraction could begin, though that introduces a new set of technical obstacles. Because of the difficulty and expense of getting heavy machinery from Earth into space, some have suggested using 3D printing technology to use materials found in space to create the necessary equipment. Then, some modified version of a terrestrial mining method, like drilling or magnetic separation, could be used for the mining itself. But these extraction processes have been developed for the pressure and gravity of Earth, and they would need to be overhauled to function in the low-gravity, vacuum environment of space. If this part of the process sounds unclear, it’s because it is. To give an idea of the scale—in time and difficulty—of these kinds of operations, consider the government’s version of asteroid prospecting. In April, NASA greenlighted a mission in which a spacecraft called OSIRIS-REx will rendezvous with an asteroid called Bennu. OSIRIS-Rex is scheduled to launch in 2016, reach the asteroid in 2018, reconnoiter it for over a year, and then bring back samples for scientific study. The amount of asteroid that NASA plans to collect after all this time and trouble? Two ounces. A major premise of private space mining companies is that they will be able to work far faster and more economically than NASA, and will be willing to take on levels of risk beyond that of a government operation, but the scale and timeline of OSIRIS-REx shows how complex these operations will be, even for the swiftest companies. Rick Sternbach / KISS BAG IT, TAG IT, SELL IT An illustration, from the Cal Tech study, of an asteroid retrieval spacecraft capturing a 500-ton asteroid. The most far-out proposal in space mining is to "redirect" an NEA toward Earth and into lunar orbit. There, the asteroid could spin safely around the moon, accessible to our planet. A 2012 Cal Tech study determined that this method would be not only feasible, but “essential” for long-term human space exploration. According to the study, it will soon be possible for an unmanned spacecraft to identify a target asteroid—one around seven meters in diameter and 500,000 kilograms in mass—approach it, “loiter” nearby to determine its spin, and ultimately enclose the asteroid in what is described as a “draw-string bag.” (Take a moment to imagine a man-made drawstring bag capturing a giant mass of precious metal hurtling through space. “This is awesome!” does feel like the only reasonable response.) Once the asteroid and spacecraft are connected, a solar-powered propulsion system could fly the asteroid back to our moon and deposit it in lunar orbit. Depending on the mass of the asteroid, this retrieval flight would last between six and ten years. This idea, like the other space-mining projects, will require tremendous patience, money, vision, and bluster. So it's no surprise that the futurists of Silicon Valley are behind them: The group of companies founded with the intention of mining space are backed largely by investors who made their names and fortunes in tech. Peter Diamandis is the founder of the X Prize Foundation and of Silicon Valley’s Singularity University, which he co-founded with futurist Ray Kurzweil; Eric Schmidt is one of Planetary Resources’ major investors; before starting Moon Express, Naveen Jain was a senior executive at Microsoft and then CEO of his own startup, InfoSpace; Elon Musk founded PayPal and now has a private space company, SpaceX, currently under contract with NASA to begin carrying astronauts to the International Space Station. The New Yorker's George Packer identifies the “conflicting pressures” of Silicon Valley as “work ethic, status consciousness, idealism, and greed.” All of these pressures are present in the space-mining race, too. The work required to pull it off is undeniable—as is the idealistic delusion that outer-space extraction would bring world peace. Whoever accomplishes this first will be hailed, from Mountain View to Capitol Hill, as a genius. They will also become unfathomably wealthy, and rightly so: Entering a new, high-risk, high-tech field of business should come with the possibility for enormous reward. These entrepreneurs have evinced as much in less-utopian, off-the-cuff remarks. Diamandis has joked that his company’s financing plan is to buy puts in the platinum market and then announce their plan to bring a platinum asteroid home. Jain imagines coming back from trips to the moon with payloads worth billions of dollars: “I don’t care what people say," he said in an interview with Wired's editor last year. "That’s a shit load of money.” It’s telling that the foundational text of the space mining industry—1997's Mining the Sky, by John Lewis, a professor of planetary science at the University of Arizona and the chief scientist of Deep Space Industries—begins not with a catalog of the wealth of space, but with a brief history of exploration and military domination on Earth. Here, there isn’t enough, but in space, rather than nothingness, we find “a lively, rich understanding of the unity and lawfulness of Creation, within which the diversity and complexity of local materials and events falls into place.” Thanks to the saving power of technology, the very ideas of “limited resources and finite living space” are “tired old myths,” he writes. It’s exhilarating, this notion that tech advances could end scarcity as we know it, relegating wars over mineral wealth and energy sources to the list of woes defeated by science, alongside plague and polio. But it’s a dangerous exhilaration. It seems far more likely that new sources of wealth will, in their abundance, be one more thing for us to scrabble over. The space-mining notion is immensely appealing: the sky is full of infinite riches and abundance leads to peace. But why wouldn’t riches from the heavens cause conflicts and problems? Their vulgar terrestrial cousins always have. The problem with comparing space-mining to the Wild West isn’t just that it won’t revolutionize our economy like Manifest Destiny did. It isn’t even that there’s something suspect in taking the sky—something that feels so shared, so very deeply part of the commons—and turning it into a set of privately held commodities. It’s that this rhetoric gives the industry a kind of up-by-the-bootstraps patina, calling to mind a situation in which anyone with a gold-pan could go and seek their fortune, if one were plucky and lucky enough to set out for virgin territory. This simply does not apply to space mining, an industry where—to an even greater degree than modern-day resource extraction businesses on Earth—the barriers to entry in terms of both technology and capital are so immense that it is only open to entrepreneurs who are already billionaires. Would-be space mining companies are often called “crazy,” their plans described as wild schemes. In fact, these companies are not crazy at all. As Jain, of Moon Express, says in a promotional video, "It is not just a fun project. It is also a great business." Space-mining investors may be thinking extremely far outside the box, and willing to take on levels of risk that governments—the only entities with dealings in space until just recently—would never take on. But these are savvy investors, not a bunch of kids with a kooky dream, and they expect an eventual return on that investment. That might explain why, as the Wall Street Journal reported recently, Planetary Adventures has shifted its focus from precious metals "to a more mundane space resource: water," which "could be processed into fuel to extend the useful lives of aging commercial satellites." Granted, water has been a part of Planetary Resources’s business plan for years: When the company announced two years ago its intentions to mine asteroids, it said in a press release that “accessing water resources in space will revolutionize exploration.” But it never got headlines, for obvious reasons. As John Logsdon of the GWU Institute of Space Policy said after the "Selling Space" debate in March, “It’s not as sexy as platinum but I think the most valuable resource in space is water.” Harvesting asteroid ice could be very profitable in its own right, but it doesn’t conjure the same Panglossian platitudes as giant chunks of space gold do. That's just as well. It's a more practical approach for the near future. Because of the tremendous cost—both in terms of energy and money—of launching something out of Earth’s atmosphere or back into it, the most efficient use of resources extracted in space will be right there: in space. And that, in turn, should help bring the peace-and-abundance rhetoric back down to Earth. It's like much of what Silicon Valley invents: Not as awesome as the elevator pitch makes it sound, but useful in its own little way.

### fw

#### The standard is maximizing expected wellbeing.

#### Prefer it:

#### 1] Actor specificity– every policy benefits some and harms others, which also means side constraints freeze action.

#### 2] Extinction comes first!

**Pummer 15** [Theron, Junior Research Fellow in Philosophy at St. Anne's College, University of Oxford. “Moral Agreement on Saving the World” Practical Ethics, University of Oxford. May 18, 2015] AT

**There appears to be lot of disagreement in moral philosophy. Whether these many apparent disagreements are deep and irresolvable, I believe there is at least one thing it is reasonable to agree on right now**, whatever general moral view we adopt**: that it is very important to reduce the risk that all intelligent beings on this planet are eliminated by an enormous catastrophe, such as a nuclear war.** How we might in fact try to reduce such existential risks is discussed elsewhere. My claim here is only that **we – whether we’re consequentialists, deontologists, or virtue ethicists – should all agree that we should try to save the world.** According to consequentialism, we should maximize the good, where this is taken to be the goodness, from an impartial perspective, of outcomes. **Clearly one thing that makes an outcome good is that the people in it are doing well. There is little disagreement here.** If the happiness or well-being of possible future people is just as important as that of people who already exist, and if they would have good lives, it is not hard to see how **reducing existential risk is easily the most important thing in the whole world. This is for the familiar reason that there are so many people who could exist in the future – there are trillions upon trillions… upon trillions. There are so many possible future people that reducing existential risk is arguably the most important thing in the world, even if the well-being of these possible people were given only 0.001% as much weight as that of existing people.** Even on a wholly person-affecting view – according to which there’s nothing (apart from effects on existing people) to be said in favor of creating happy people – the case for reducing existential risk is very strong. As noted in this seminal paper, **this case is strengthened by the fact that there’s a good chance that many existing people will, with the aid of life-extension technology, live very long and very high quality lives. You might think what I have just argued applies to consequentialists only. There is a tendency to assume that, if an argument appeals to consequentialist considerations (the goodness of outcomes), it is irrelevant to non-consequentialists. But that is a huge mistake.** **Non-consequentialism is the view that there’s more that determines rightness than the goodness of consequences or outcomes; it is not the view that the latter don’t matter.** Even John Rawls wrote, “**All ethical doctrines worth our attention take consequences into account in judging rightness. One which did not would simply be irrational, crazy.**” **Minimally plausible versions of deontology and virtue ethics must be concerned in part with promoting the good, from an impartial point of view.** **They’d thus imply very strong reasons to reduce existential risk**, at least when this doesn’t significantly involve doing harm to others or damaging one’s character. What’s even more surprising, perhaps, is that even if our own good (or that of those near and dear to us) has much greater weight than goodness from the impartial “point of view of the universe,” indeed even if the latter is entirely morally irrelevant, we may nonetheless have very strong reasons to reduce existential risk. **Even egoism, the view that each agent should maximize her own good, might imply strong reasons to reduce existential risk.** It will depend, among other things, on what one’s own good consists in. If well-being consisted in pleasure only, it is somewhat harder to argue that egoism would imply strong reasons to reduce existential risk – perhaps we could argue that one would maximize her expected hedonic well-being by funding life extension technology or by having herself cryogenically frozen at the time of her bodily death as well as giving money to reduce existential risk (so that there is a world for her to live in!). I am not sure, however, how strong the reasons to do this would be. But views which imply that, if I don’t care about other people, I have no or very little reason to help them are not even minimally plausible views (in addition to hedonistic egoism, I here have in mind views that imply that one has no reason to perform an act unless one actually desires to do that act). **To be minimally plausible, egoism will need to be paired with a more sophisticated account of well-being.** To see this, it is enough to consider, as Plato did, the possibility of a ring of invisibility – **suppose that, while wearing it, Ayn could derive some pleasure by helping the poor, but instead could derive just a bit more by severely harming them. Hedonistic egoism would absurdly imply she should do the latter. To avoid this implication, egoists would need to build something like the meaningfulness of a life into well-being**, in some robust way, where this would to a significant extent be a function of other-regarding concerns (see chapter 12 of this classic intro to ethics). But **once these elements are included, we can (roughly, as above) argue that this sort of egoism will imply strong reasons to reduce existential risk.** Add to all of this Samuel Scheffler’s recent intriguing arguments (quick podcast version available here) that most of what makes our lives go well would be undermined if there were no future generations of intelligent persons. On his view, my life would contain vastly less well-being if (say) a year after my death the world came to an end. So obviously if Scheffler were right I’d have very strong reason to reduce existential risk. **We should also take into account moral uncertainty.** **What is it reasonable for one to do, when one is uncertain not (only) about the empirical facts, but also about the moral facts?** I’ve just argued that **there’s agreement among minimally plausible ethical views that we have strong reason to reduce existential risk – not only consequentialists, but also deontologists, virtue ethicists, and sophisticated egoists should agree.** But **even those (hedonistic egoists) who disagree should have a significant level of confidence that they are mistaken, and that one of the above views is correct. Even if they were 90% sure that their view is the correct one** (and 10% sure that one of these other ones is correct), **they would have pretty strong reason, from the standpoint of moral uncertainty, to reduce existential risk.** Perhaps most disturbingly still, **even if we are only 1% sure that the well-being of possible future people matters, it is at least arguable that, from the standpoint of moral uncertainty, reducing existential risk is the most important thing in the world.** Again, this is largely for the reason that there are so many people who could exist in the future – there are trillions upon trillions… upon trillions. (For more on this and other related issues, see this excellent dissertation). Of course, it is uncertain whether these untold trillions would, in general, have good lives. It’s possible they’ll be miserable. **It is enough for my claim that there is moral agreement in the relevant sense if**, at least given certain empirical claims about what future lives would most likely be like, **all minimally plausible moral views would converge on the conclusion that we should try to save the world.** While there are some non-crazy **views that place significantly greater moral weight on avoiding suffering than on promoting happiness**, for reasons others have offered (and for independent reasons I won’t get into here unless requested to), they nonetheless **seem to be fairly implausible views.** And **even if things did not go well for our ancestors, I am optimistic that they will overall go fantastically well for our descendants, if we allow them to. I suspect that most of us alive today – at least those of us not suffering from extreme illness or poverty – have lives that are well worth living, and that things will continue to improve.** Derek Parfit, whose work has emphasized future generations as well as agreement in ethics, described our situation clearly and accurately: “We live during the hinge of history. **Given the scientific and technological discoveries of the last two centuries, the world has never changed as fast.** We shall soon have even greater powers to transform, not only our surroundings, but ourselves and our successors. **If we act wisely in the next few centuries, humanity will survive its most dangerous and decisive period.** Our descendants could, if necessary, go elsewhere, spreading through this galaxy…. **Our descendants might, I believe, make the further future very good. But that good future may also depend in part on us. If our selfish recklessness ends human history, we would be acting very wrongly.**” (From chapter 36 of On What Matters)

### Underview

#### **Renewables can’t solve warming**

Sheehy 19’UL, 11-26-2019, "Why Renewable Energy Isn’t Enough of a Change To Meet Climate Goals," <https://www.ul.com/news/why-renewable-energy-isnt-enough-change-meet-climate-goals> Catherine Sheehy is the global lead of [sustainability partnerships](https://www.ul.com/offerings/sustainability-advisory-services) for UL. She and her team manage a range of projects covering zero waste and circularity, greener product frameworks, and carbon optimization strategies. Sheehy was a key author of the UL 880 Standard for Sustainability for Manufacturing Companies, which addresses vital enterprise-level sustainable supply chain issues. //Mon Vis RD

For years, renewable energy has been viewed as the key to reducing global greenhouse gas emissions. Early industry pioneersheld onto hope for nearly 40 years before their seeds — a scattering of wind and solar projects — started to take root and spread worldwide. Renewable energy capacity has quadrupled worldwide in the past ten years and now makes up more than two-thirds of global energy production, according to the International Renewable Energy Agency in its annual [Renewable Capacity Statistics 2020](https://www.smart-energy.com/renewable-energy/renewable-capacity-statistics-2020-study-findings-published/) While these gains are important to reaching decarbonization goals, people often overlook that [renewables make up less than 10%](http://www.ren21.net/gsr-2019/) of the energy needed for heating and cooling and only 3.3% of transportation energy. Cities are moving to electric bus fleets and alternatives other than petroleum-based are being developed for comfort, but it’s still not fast enough. Even if we were to convert to 100% renewable energy, the change alone would not be enough, said the Ellen MacArthur Foundation in its publication [Completing the Picture: How the Circular Economy Tackles Climate Change](https://www.ellenmacarthurfoundation.org/publications/completing-the-picture-climate-change). The September 2019 paper, released in collaboration with Material Economics, asserted that the global shift to renewable energy addresses only 55% of the world’s greenhouse gas emissions. To reduce the remaining 45%, we need the circular economy to meet our goals. The paper projected that if we applied circular economy strategies to five materials — aluminum, cement, food, plastic and steel — the total greenhouse gas production would decrease by 9.3 billion tons worldwide in 2050. But, is their framework realistic in global culture?

#### Asteroid mining won’t compete with earth mining – costs, supply & demand, profit

Szecsi, 21, Space Industries, “How Did Market Analysts Derail The Nonexistent Space-Mining Business?”, Gabor Szecsi (Berkeley, California) is an attorney licensed in the State of California, counseling space startups on transactions, export controls compliance, and privacy law compliance., URL: <https://spaceindustries.com.au/market-analysts-derail-space-mining/space_mining/>, KR

Asteroid Mining Cannot Compete With Earth Mining

While you can develop a mine on Earth within five years with less than $1 billion investment, asteroid mining requires $2.6 billion to be tied up for decades. Furthermore, the operating cost of asteroid mining would be higher than Earth mining.

This raises a simple question: why would a company bring more expensive platinum to an already over supplied market? No, it should not. When the precious metal supply drops below a certain critical supply because of the decline of Earth resources a company may consider looking for new resources in space. Until then, precious metal mining in space will not be able to compete with Earth mining.

Platinum, Even a Football-Field Amount, Will Not Make You Rich

Platinum has been the most frequently mentioned precious metal to be mined in space. In 2020, the all-in sustaining cost of platinum exceeded $1,100 per ounce while the market price was less than $1,100 per ounce. That meant that the platinum mining on Earth was not profitable. The reason for the relatively low price was over supply caused by the continuously declining demand. The industry no longer needs as much platinum as is produced.

Historical platinum prices

Other precious metal markets are not as tough as the platinum market. For example, the all-in sustaining cost of gold is around $700-$1,000 per ounce. Although the current market price is much higher than the all-in sustaining cost, a significant increase in supply could push gold off the cliff as well. Not to mention that space mining will not produce gold for $700-$1000 per ounce it is very doubtful that gold mining in space would be profitable at the current gold market price.

Thus, the platinum-mining sector is struggling, and the way to solve this problem is to not bring more platinum from space; instead, some existing mining operations should probably be suspended or closed down to adjust supply to current needs. While other precious metal markets are healthy, mining those materials in space would not be profitable.

#### Substitutes solve REM shortages – multiple breakthroughs being made

Adam Currie, market writer, 3/18/13 [“Rare Earth Recycling: Risk to Sector or Investment Opportunity?” Rare Earth Investing News, 2013, http://rareearthinvestingnews.com/9255-rare-earth-recycling-risk-to-sector-or-investment-opportunity.html]

While Honda has impressed investors by succeeding in its recycling goals and its plans to commercialize this recycling process, it is not the only company focused on innovation. Since the industry underwent a severe shake up in 2008, more and more manufacturers have begun seeking alternative solutions aimed at either decreasing their dependence on rare earths, or securing their own REE supply.¶ In 2012, Toyota (TSE:7203) announced that it had developed a method to manufacture hybrid and electric vehicles (EVs) without the use of rare earth metals, while General Motors Company (NYSE:GM) confirmed it was “close to a breakthrough” that would reduce its need for dysprosium, a rare earth in especially high demand. Japan’s Hitachi (TSE:6501) has been clear of its intentions to move away from the use of REEs, announcing in April last year a highly-efficient permanent magnet synchronous motor that employs an iron-based amorphous metal in the core – and, crucially, no REEs.¶ Also, Ford (NYSE:F) announced that its nickel-metal-hydride batteries will be replaced with lithium-ion alternatives in a move that could see the company cut 500,000 pounds of REEs from its manufacturing process annually, while the US Department of Energy’s (DOE) Ames Laboratory confirmed that it too is working towards creating a method to remove neodymium from the mix of other materials in magnets.

#### Breakthrough innovations in desalination promise cheap access to fresh water in developing countries

Chandler 2/14

David Chandler, [Writer for MIT News], 14 February 2022, “Solar-powered system offers a route to inexpensive desalination”, [https://news.mit.edu/2022/solar-desalination-system-inexpensive-0214 //](https://news.mit.edu/2022/solar-desalination-system-inexpensive-0214%20//) AK

Now, a team of researchers at MIT and in China has come up with a solution to the problem of salt accumulation — and in the process developed a desalination system that is both more efficient and less expensive than previous solar desalination methods. The process could also be used to treat contaminated wastewater or to generate steam for sterilizing medical instruments, all without requiring any power source other than sunlight itself. The findings are described today in the journal Nature Communications, in a paper by MIT graduate student Lenan Zhang, postdoc Xiangyu Li, professor of mechanical engineering Evelyn Wang, and four others. “There have been a lot of demonstrations of really high-performing, salt-rejecting, solar-based evaporation designs of various devices,” Wang says. “The challenge has been the salt fouling issue, that people haven’t really addressed. So, we see these very attractive performance numbers, but they’re often limited because of longevity. Over time, things will foul.” Many attempts at solar desalination systems rely on some kind of wick to draw the saline water through the device, but these wicks are vulnerable to salt accumulation and relatively difficult to clean. The team focused on developing a wick-free system instead. The result is a layered system, with dark material at the top to absorb the sun’s heat, then a thin layer of water above a perforated layer of material, sitting atop a deep reservoir of the salty water such as a tank or a pond. After careful calculations and experiments, the researchers determined the optimal size for the holes drilled through the perforated material, which in their tests was made of polyurethane. At 2.5 millimeters across, these holes can be easily made using commonly available waterjets. The holes are large enough to allow for a natural convective circulation between the warmer upper layer of water and the colder reservoir below. That circulation naturally draws the salt from the thin layer above down into the much larger body of water below, where it becomes well-diluted and no longer a problem. “It allows us to achieve high performance and yet also prevent this salt accumulation,” says Wang, who is the Ford Professor of Engineering and head of the Department of Mechanical Engineering. Li says that the advantages of this system are “both the high performance and the reliable operation, especially under extreme conditions, where we can actually work with near-saturation saline water. And that means it’s also very useful for wastewater treatment.” He adds that much work on such solar-powered desalination has focused on novel materials. “But in our case, we use really low-cost, almost household materials.” The key was analyzing and understanding the convective flow that drives this entirely passive system, he says. “People say you always need new materials, expensive ones, or complicated structures or wicking structures to do that. And this is, I believe, the first one that does this without wicking structures.” This new approach “provides a promising and efficient path for desalination of high salinity solutions, and could be a game changer in solar water desalination,” says Hadi Ghasemi, a professor of chemical and biomolecular engineering at the University of Houston, who was not associated with this work. “Further work is required for assessment of this concept in large settings and in long runs,” he adds. Just as hot air rises and cold air falls, Zhang explains, natural convection drives the desalination process in this device. In the confined water layer near the top, “the evaporation happens at the very top interface. Because of the salt, the density of water at the very top interface is higher, and the bottom water has lower density. So, this is an original driving force for this natural convection because the higher density at the top drives the salty liquid to go down.” The water evaporated from the top of the system can then be collected on a condensing surface, providing pure fresh water. The rejection of salt to the water below could also cause heat to be lost in the process, so preventing that required careful engineering, including making the perforated layer out of highly insulating material to keep the heat concentrated above. The solar heating at the top is accomplished through a simple layer of black paint. So far, the team has proven the concept using small benchtop devices, so the next step will be starting to scale up to devices that could have practical applications. Based on their calculations, a system with just 1 square meter (about a square yard) of collecting area should be sufficient to provide a family’s daily needs for drinking water, they say. Zhang says they calculated that the necessary materials for a 1-square-meter device would cost only about $4. Their test apparatus operated for a week with no signs of any salt accumulation, Li says. And the device is remarkably stable. “Even if we apply some extreme perturbation, like waves on the seawater or the lake,” where such a device could be installed as a floating platform, “it can return to its original equilibrium position very fast,” he says. The necessary work to translate this lab-scale proof of concept into workable commercial devices, and to improve the overall water production rate, should be possible within a few years, Zhang says. The first applications are likely to be providing safe water in remote off-grid locations, or for disaster relief after hurricanes, earthquakes, or other disruptions of normal water supplies. Zhang adds that “if we can concentrate the sunlight a little bit, we could use this passive device to generate high-temperature steam to do medical sterilization” for off-grid rural areas. “I think a real opportunity is the developing world,” Wang says. “I think that is where there's most probable impact near-term, because of the simplicity of the design.” But, she adds, “if we really want to get it out there, we also need to work with the end users, to really be able to adopt the way we design it so that they’re willing to use it.” “This is a new strategy toward solving the salt accumulation problem in solar evaporation,” says Peng Wang, a professor at King Abdullah University of Science and Technology in Saudi Arabia, who was not associated with this research. “This elegant design will inspire new innovations in the design of advanced solar evaporators. The strategy is very promising due to its high energy efficiency, operation durability, and low cost, which contributes to low-cost and passive water desalination to produce fresh water from various source water with high salinity, e.g., seawater, brine, or brackish groundwater.” The team also included Yang Zhong, Arny Leroy, and Lin Zhao at MIT, and Zhenyuan Xu at Shanghai Jiao Tong University in China. The work was supported by the Singapore-MIT Alliance for Research and Technology, the U.S.-Egypt Science and Technology Joint Fund, and used facilities supported by the National Science Foundation.